

G. Coppola

## RECENT RESULTS ON RAMANUJAN EXPANSIONS WITH APPLICATIONS TO CORRELATIONS

**Abstract.** This is a survey on some very recent results about Ramanujan expansions and their applications to the representation of shifted convolution sums of two arithmetical functions.

### 1. Some basic properties of the Ramanujan expansions

The so-called *Ramanujan sum* is [22]

$$c_q(n) \stackrel{\text{def}}{=} \sum_{\substack{j \leq q \\ (j,q)=1}} \cos(2\pi jn/q), \quad q \in \mathbb{N}, n \in \mathbb{Z}.$$

Hereafter,  $d = (a, b)$  means that  $d$  is the greatest common divisor of  $a$  and  $b$ .

Note that  $|c_q(n)| \leq c_q(0) = \varphi(q) \stackrel{\text{def}}{=} \#\{j \in \mathbb{N} : j \leq q \text{ and } (j, q) = 1\}$  for all  $q \in \mathbb{N}, n \in \mathbb{Z}$ . Moreover, the arithmetic function  $n \in \mathbb{N} \mapsto c_q(n)$  is periodic with period  $q$ . Throughout the paper, sometimes without further references, we apply other properties of the Ramanujan sums, quoted from [11], [13], [21] and summarized in the next proposition.

**PROPOSITION 1.** *Let  $\mu$  be the Möbius function [24] and let  $\omega(q)$  denote the number of the prime factors of  $q \in \mathbb{N}$ .*

$$\textcircled{1} \quad c_q(n) = \sum_{\substack{d|q \\ d|n}} d \mu(q/d) = \varphi(q) \frac{\mu(q/(q,n))}{\varphi(q/(q,n))}, \quad \text{for all } q \in \mathbb{N}, n \in \mathbb{Z}.$$

$$\textcircled{2} \quad |c_q(n)| \leq (q, n), \quad \text{for all } q, n \in \mathbb{N}.$$

$$\textcircled{3} \quad \sum_{d|q} c_d(n) = q \mathbf{1}_{q|n}, \quad \text{for all } q \in \mathbb{N}, n \in \mathbb{Z},$$

where  $\mathbf{1}_{q|n}$  is the characteristic function of  $\{n \in \mathbb{Z} : n \equiv 0 \pmod{q}\}$ .

$$\textcircled{4} \quad (\text{Delange inequality}) \quad \sum_{d|q} |c_d(n)| \leq n \sum_{d|q} \mu(d)^2 = n 2^{\omega(q)}, \quad \text{for all } q, n \in \mathbb{N}.$$

$$\textcircled{5} \quad \text{If } \ell, q \in \mathbb{N}, k \in \mathbb{Z}, \text{ then } \lim_{x \rightarrow \infty} \frac{1}{x} \sum_{n \leq x} c_\ell(n) c_q(n+k) = \begin{cases} c_\ell(k) & \text{if } \ell = q, \\ 0 & \text{otherwise.} \end{cases}$$

**REMARK 1.** We will write  $n \equiv m \pmod{q}$  to abbreviate  $n \equiv m \pmod{q}$ . We denote the characteristic function of any set  $U \cap \mathbb{Z}$ , with  $U \subseteq \mathbb{R}$ , by  $\mathbf{1}_U$ . Equivalently, such a function is denoted by  $\mathbf{1}_\wp$ , as in  $\textcircled{3}$ , provided that  $\wp$  is a characteristic property of  $U \cap \mathbb{Z}$ . Note that the first equality in  $\textcircled{1}$  shows that  $c_q(n) \in \mathbb{Z}$  for all  $q \in \mathbb{N}, n \in \mathbb{Z}$ .

DEFINITION 1. Let  $\mathcal{A} \stackrel{\text{def}}{=} \{f: \mathbb{N} \rightarrow \mathbb{C}\}$  be the set of all the arithmetic functions. The **Ramanujan series** associated to  $g \in \mathcal{A}$  is the series

$$\mathcal{R}_g(n) \stackrel{\text{def}}{=} \sum_{q=1}^{\infty} g(q)c_q(n), \quad \text{for all } n \in \mathbb{N}.$$

We say that  $f \in \mathcal{A}$  admits a **Ramanujan expansion** if there exists  $g \in \mathcal{A}$  such that

$$f = \mathcal{R}_g.$$

For this,  $g(q)$  is the so-called  $q$ -th **Ramanujan coefficient** of the expansion  $f = \mathcal{R}_g$ .

All classical expansions have this form (compare [22], [21], [23]).

However, we include the possibility that  $g(q)$  also depends on  $n$ . As an example, take the following expansion of 0–function,  $\mathbf{0}(n) \stackrel{\text{def}}{=} 0, \forall n \in \mathbb{N}$  (recall  $c_1(n) = 1, \forall n \in \mathbb{Z}$ ):

$$(1) \quad \mathbf{0}(n) = 2c_1(n) + (2 \cdot \mathbf{1}_{n \neq 0(3)} - \mathbf{1}_{n \equiv 0(3)})c_3(n), \quad \forall n \in \mathbb{N}.$$

In case  $g(q)$  doesn't depends on  $n$ , as  $n$  varies in  $\mathbb{N}$ , we call  $f = \mathcal{R}_g$  a **pure** expansion.

Given any  $f \in \mathcal{A}$  (except  $f = \mathbf{0}$ , see Remark 4), we don't know if it has a pure expansion or not. (As far as we know no such a result is in the literature.)

However, all  $f \in \mathcal{A}$  have at least one  $n$ -pointwise convergent  $f(n) = \mathcal{R}_g(n)$ , as  $n \in \mathbb{N}$  (and it's finite, see Remark 3).

Consequently, we introduce

$$\langle f \rangle \stackrel{\text{def}}{=} \{g \in \mathcal{A} : f = \mathcal{R}_g\} \quad \text{the Ramanujan cloud of } f,$$

which is always non-empty, and its “pure part” (that can be empty)

$$\langle f \rangle_* \stackrel{\text{def}}{=} \{g \in \langle f \rangle : \mathcal{R}_g \text{ is pure}\}.$$

Assume that  $\langle f \rangle_* \neq \emptyset$ . We call the expansion  $f = \mathcal{R}_g$  **completely uniform** if it's pure and the convergence of  $f(n) = \mathcal{R}_g(n)$  is uniform w.r.t.  $n$ , as  $n$  varies in  $\mathbb{N}$ . We write

$$\langle f \rangle_{**} \stackrel{\text{def}}{=} \{g \in \langle f \rangle : \mathcal{R}_g \text{ is completely uniform}\}.$$

Let us write  $f =_{\#} \mathcal{R}_g$  to mean that  $\mathcal{R}_g$  is a finite sum. Assuming that  $f$  and  $g$  are not the identically zero functions, such a finite expansion, if pure, can be written in the form

$$f(n) = \mathcal{R}_g(n) = \sum_{q \leq Q} g(q)c_q(n), \quad \forall n \in \mathbb{N},$$

where  $Q \stackrel{\text{def}}{=} \max\{q \in \mathbb{N} : g(q) \neq 0\}$  is the so-called **length** of  $\mathcal{R}_g(n)$ . (Compare Remark 3 about finite expansions.) We indicate  $\langle f \rangle_{\#} \stackrel{\text{def}}{=} \{g \in \langle f \rangle : \mathcal{R}_g \text{ is finite}\}$ .

Henceforth,  $\mathcal{R}$ -expansion and  $\mathcal{R}$ -coefficient abbreviate *Ramanujan expansion* and *Ramanujan coefficient*, respectively. Sometimes, we'll abbreviate  $\mathcal{R}$ -cloud for the Ramanujan cloud.

REMARK 2. The reader is cautioned that some authors refer to Ramanujan series as *Fourier-Ramanujan* series ([15], [23]). Moreover, in the literature  $\mathcal{R}$ -*expansion* is often synonymous of Ramanujan series. Here we explicitly point out that by definition a  $\mathcal{R}$ -*expansion* is a convergent Ramanujan series taken as a representation of its sum (compare Remark 4 for its non-uniqueness). Further, it should be plain that in the present context Ramanujan sum cannot be synonymous of finite  $\mathcal{R}$ -*expansion*.

REMARK 3. A celebrated theorem of Hildebrand [18] ensures that for every  $f \in \mathcal{A}$  and  $n \in \mathbb{N}$  there exist  $Q(n) \in \mathbb{N}$  and  $h(q, n) \in \mathbb{C}$ , with  $1 \leq q \leq Q(n)$ , such that

$$(2) \quad f(n) = \sum_{q=1}^{Q(n)} h(q, n) c_q(n) \quad \forall n \in \mathbb{N}.$$

See also [23] for the proof, where the coefficients  $h(q, n)$  are recursively defined. In other words, Hildebrand's result yields that  $\langle f \rangle_{\#} \neq \emptyset$  for all  $f \in \mathcal{A}$ , implying that all  $\mathcal{R}$ -*clouds* are non-empty; however, it leaves open the possibility that some  $\langle f \rangle_{*} = \emptyset$ . Note that for every  $f \in \mathcal{A}$  there are always expansions of the form (2), where the dependence of  $Q(n)$  and the coefficients  $h(q, n)$  on  $n$  is effective. Indeed, besides the trivial choices of  $Q(n) = 1$  and  $h(1, n) = f(n)$ , the expansion (2) holds also by taking

$$Q(n) = n, \quad h(q, n) = \sum_{\substack{d \leq n \\ d \equiv 0(q)}} \frac{(f * \mu)(d)}{d},$$

where  $*$  denotes the Dirichlet product [24]. (See the last line of the proof of the Wintner-Delange formula in Proposition 2 below.) The latter case yields the so-called *standard finite  $\mathcal{R}$ -expansion* of  $f$ ,  $\forall f \in \mathcal{A}$ . This, in turn, proves (2) immediately.

REMARK 4. Since the first appearance of the Ramanujan series, it was clear at once that the  $\mathcal{R}$ -*expansion* of a given arithmetical function is very far from being unique. Indeed, besides the trivial fact that the identically zero function  $\mathbf{0}$  belongs to  $\langle \mathbf{0} \rangle$ , non-trivial  $\mathcal{R}$ -*expansions* of  $\mathbf{0}$  were found by Ramanujan himself [22] and Hardy [16], respectively as

$$(3) \quad \mathbf{0}(n) = \sum_{q=1}^{\infty} R_0(q) c_q(n), \quad \text{where } R_0(q) \stackrel{\text{def}}{=} \frac{1}{q},$$

$$(4) \quad \mathbf{0}(n) = \sum_{q=1}^{\infty} H_0(q) c_q(n), \quad \text{where } H_0(q) \stackrel{\text{def}}{=} \frac{1}{\varphi(q)}.$$

Further samples of Ramanujan expansions are found in [23], [19], [21]. Moreover, it is plain that  $\alpha \langle \mathbf{0} \rangle \subseteq \langle \mathbf{0} \rangle$  for all  $\alpha \in \mathbb{C}$ . Furthermore, for any  $g \in \langle f \rangle$  one has

$$g + \langle \mathbf{0} \rangle \stackrel{\text{def}}{=} \{h \in \mathcal{A} : h = g + k \text{ for some } k \in \langle \mathbf{0} \rangle\} \subseteq \langle f \rangle.$$

Together with the aforementioned Hildebrand's result, this implies that the set  $\langle f \rangle$  is infinite for any  $f \in \mathcal{A}$ . Namely, all  $\mathcal{R}$ -clouds contain infinitely many expansions each. Further, they are convex sets :  $\alpha g_1 + (1 - \alpha)g_2 \in \langle f \rangle$ ,  $\forall g_1, g_2 \in \langle f \rangle$  and  $\forall \alpha \in \mathbb{C}$ . Some of the recent results concern the problem of the unique representation of the expansion  $f = \mathcal{R}_g$ , namely the search for suitable requirements on  $g$  that would yield uniqueness of such expansion. These results are discussed in §3.

REMARK 5. The convergence of a  $\mathcal{R}$ -expansion needs not be absolute. Indeed, since  $|c_q(n)| = \mu(q)^2$  for  $(q, n) = 1$  (see Proposition 1), then for any fixed integer  $n$  we can write

$$\sum_{q=1}^{\infty} \frac{|c_q(n)|}{q} \geq \sum_{\substack{q=1 \\ (q,n)=1}}^{\infty} \frac{\mu(q)^2}{q} \geq \sum_p \frac{1}{p} - \sum_{p|n} \frac{1}{p}.$$

Hereafter, the letter  $p$ , with or without subscripts, is devoted to prime numbers. Thus, the absolute divergence of the series (3) follows from the well-known divergence of the series of prime numbers reciprocals.

## 2. The Wintner coefficients and the Carmichael coefficients

DEFINITION 2. The Eratosthenes transform of  $f$  is  $f' \in \mathcal{A}$  such that  $f = f' * \mathbf{1}$ , i.e.

$$f(n) = \sum_{d|n} f'(d), \quad \forall n \in \mathbb{N}.$$

In particular, if for every  $n \in \mathbb{N}$  and for some  $Q \in \mathbb{N}$  independent of  $n$  one has

$$f(n) = \sum_{\substack{d \leq Q \\ d|n}} f'(d),$$

then  $f$  is said to be a truncated divisor sum of range  $Q$ . We also say that  $f'$  is the  $Q$ -truncated Eratosthenes transform of  $f$ . The set of the truncated divisor sums of range  $Q$  is denoted by  $\mathcal{A}_Q$ .

REMARK 6. Henceforth,  $E$ -transform means Eratosthenes transform. Note that we have already abbreviated Wintner's terminology, where  $f'$  is used to be called the Eratosthenes-Möbius transform of  $f$  (see [23], [25]), being plain that  $f' = f * \mu$  by the Möbius inversion formula [24]. We also refer to  $f$  as the inverse  $E$ -transform of  $f'$ . Finally, by definition the truncated divisor sum of range  $Q$  associated to  $f = f' * \mathbf{1}$  is

$$f_Q(n) \stackrel{\text{def}}{=} \sum_{\substack{d \leq Q \\ d|n}} f'(d), \quad \forall n \in \mathbb{N}.$$

In other words, the  $E$ -transform of  $f_Q$  is  $f'$  in  $[1, Q] \cap \mathbb{N}$  and 0 otherwise. In §3 the set  $\mathcal{A}_Q$  is characterized in terms of some peculiar  $\mathcal{R}$ -expansions (see Theorem 3).

DEFINITION 3. Let  $f'$  be the  $E$ -transform of  $f \in \mathcal{A}$ .

If  $\sum_{d=0(q)}^{\infty} \frac{f'(d)}{d}$  converges, then its sum  $\mathcal{W}_f(q)$  is the  $q$ -th **Wintner coefficient** of  $f$ .

If  $\mathcal{M}(f \cdot c_q) \stackrel{\text{def}}{=} \lim_{x \rightarrow \infty} \frac{1}{x} \sum_{n \leq x} f(n)c_q(n)$  exists and is finite, then  $C_f(q) \stackrel{\text{def}}{=} \frac{\mathcal{M}(f \cdot c_q)}{\varphi(q)}$  is the  $q$ -th **Carmichael coefficient** of  $f$ .

REMARK 7. If it exists and is finite, then  $\mathcal{M}(f \cdot c_q)$  is the so-called *mean value* of  $f \cdot c_q$ . Namely,  $\mathcal{M}(f) = \mathcal{M}(f \cdot c_1) = C_f(1) = \lim_{x \rightarrow \infty} \frac{1}{x} \sum_{n \leq x} f(n)$  is the mean value of  $f$ .

The next proposition summarizes three classical results. In the first part we spot *Wintner's criterion* [23], a sufficient condition for the mere existence of both Wintner and Carmichael coefficients, which turn out to be equal. The second part of the proposition is the Wintner-Delange theorem [13], that provides with a sufficient condition for a given  $f \in \mathcal{A}$  to be such that  $\langle f \rangle_* \neq \emptyset$ . In particular, such a theorem reveals that the Wintner coefficients (or equivalently the Carmichael ones in view of Wintner's criterion) are instances of  $\mathcal{R}$ -coefficients for  $f$ . The third part is Lucht's theorem [19] that gives a deep link between the  $\mathcal{R}$ -expansion of a function and its  $E$ -transform. In §3 we present a new result yielding the converse of Lucht's theorem. Such a result is a key argument for the problem of the unique  $\mathcal{R}$ -expansion (see Remark 4). In what follows, for any  $f, g \in \mathcal{A}$  with  $g$  real and non-negative, the notation  $f(n) \ll g(n)$ , equivalent to  $f(n) = O(g(n))$ , means that there exist  $n_0 \in \mathbb{N}$  and a real number  $C > 0$  such that  $|f(n)| \leq Cg(n)$  for all  $n > n_0$ . The implicit constant  $C$  might depend on other variables, in which case they are displayed as subscripts in the symbols  $\ll$  or  $O$ .

PROPOSITION 2. Let  $f'$  be the  $E$ -transform of  $f \in \mathcal{A}$ .

① *Wintner's criterion.* If  $\sum_{d=1}^{\infty} \frac{f'(d)}{d}$  converges absolutely, then  $\mathcal{W}_f(q)$  and  $C_f(q)$  exist for all  $q \in \mathbb{N}$ . Moreover, one has  $\mathcal{W}_f = C_f$ .

② *The Wintner-Delange formula.* If  $\sum_{d=1}^{\infty} 2^{\omega(d)} \frac{f'(d)}{d}$  converges absolutely, then the function  $\mathcal{W}_f = C_f$  belongs to  $\langle f \rangle_*$  :

$$f(n) = \sum_{q=1}^{\infty} \mathcal{W}_f(q)c_q(n) = \sum_{q=1}^{\infty} C_f(q)c_q(n), \quad \forall n \in \mathbb{N}.$$

③ *Lucht's theorem.* If  $\sum_{\substack{q=1 \\ q=0(d)}}^{\infty} g(q)\mu(q/d)$  converges for every  $d \in \mathbb{N}$ , then  $g \in \langle f \rangle$ ,

where  $f$  is the inverse E-transform of  $f'(d) \stackrel{\text{def}}{=} d \sum_{\substack{q=1 \\ q=0(d)}}^{\infty} g(q)\mu(q/d)$ , i.e.

$$f(n) = \sum_{d|n} f'(d) = \sum_{d|n} d \sum_{\substack{q=1 \\ q=0(d)}}^{\infty} g(q)\mu(q/d) = \mathcal{R}_g(n) \quad \forall n \in \mathbb{N}.$$

*Proof.* ① Clearly, the existence of  $\mathcal{W}_f(q)$  for all  $q \in \mathbb{N}$  is a straightforward consequence of the hypothesis. Thus, we have to show that for any fixed  $q \in \mathbb{N}$  one has

$$\lim_{x \rightarrow \infty} \frac{1}{x} \sum_{n \leq x} f(n)c_q(n) = \varphi(q)\mathcal{W}_f(q).$$

To this end, after recalling that  $[\beta]$  and  $\|\beta\|$  denote respectively the integer part of  $\beta \in \mathbb{R}$  and the distance of  $\beta$  from the nearest integer, let us write

$$\begin{aligned} \sum_{n \leq x} f(n)c_q(n) &= \sum_{n \leq x} c_q(n) \sum_{d|n} f'(d) = \sum_{d \leq x} f'(d) \sum_{m \leq x/d} c_q(dm) \\ &= \sum_{d \leq x} f'(d) \sum_{\substack{j \leq q \\ (j,q)=1}} \sum_{m \leq x/d} e_q(jdm) \\ &= \varphi(q) \sum_{\substack{d \leq x \\ d=0(q)}} f'(d) \left[ \frac{x}{d} \right] + O\left( \sum_{\substack{d \leq x \\ d \neq 0(q)}} |f'(d)| \sum_{\substack{j \leq q \\ (j,q)=1}} \left\| \frac{jd}{q} \right\|^{-1} \right), \end{aligned}$$

where we have applied the well-known inequality (see [12], Ch.26)

$$\sum_{m \leq x} e(m\beta) \ll \min(x, \|\beta\|^{-1}), \quad \forall x \geq 1, \forall \beta \in \mathbb{R}.$$

Since the  $O$ -term vanishes for  $q = 1$ , we can assume  $q > 1$  henceforth. We see that

$$\begin{aligned} \frac{1}{x} \sum_{n \leq x} f(n)c_q(n) &= \varphi(q) \sum_{\substack{d \leq x \\ d=0(q)}} \frac{f'(d)}{d} + O\left( \frac{\varphi(q)}{x} \sum_{d \leq x} |f'(d)| \right) \\ &\quad + O\left( \frac{1}{x} \sum_{\substack{d \leq x \\ d \neq 0(q)}} |f'(d)| \sum_{\substack{j \leq q \\ (j,q)=1}} \left\| \frac{jd}{q} \right\|^{-1} \right). \end{aligned}$$

Now, let us write

$$\sum_{\substack{d \leq x \\ d \neq 0(q)}} |f'(d)| \sum_{\substack{j \leq q \\ (j,q)=1}} \left\| \frac{jd}{q} \right\|^{-1} \leq \sum_{\substack{r < q \\ r|q}} \sum_{\substack{d \leq x \\ (d,q)=r}} |f'(d)| \sum_{\substack{j \leq q \\ j \neq 0(q/r)}} \left\| \frac{jd/r}{q/r} \right\|^{-1}$$

and note that, since  $(d, q) = r$  yields  $(d/r, q/r) = 1$ , it turns out that (compare [20], §3.2)

$$\sum_{\substack{j \leq q \\ j \neq 0(q/r)}} \left\| \frac{jd/r}{q/r} \right\|^{-1} \leq r \sum_{j' < q/r} \left\| \frac{j'}{q/r} \right\|^{-1} \ll q \sum_{j' < q/r} \frac{1}{j'} \ll q \log q, \quad \forall r|q, r \neq q.$$

Thus, we get

$$(5) \quad \frac{1}{x} \sum_{n \leq x} f(n) c_q(n) = \varphi(q) \sum_{\substack{d \leq x \\ d=0(q)}} \frac{f'(d)}{d} + O_q \left( \frac{1}{x} \sum_{d \leq x} |f'(d)| \right).$$

Hence, the desired conclusion follows once it is shown that the  $O$ -term goes to zero as  $x \rightarrow \infty$ . To this end, by partial summation [24] we write

$$\sum_{d \leq x} |f'(d)| = \sum_{d \leq x} \frac{|f'(d)|}{d} + \int_1^x \left( \sum_{d \leq x} \frac{|f'(d)|}{d} - \sum_{d \leq t} \frac{|f'(d)|}{d} \right) dt.$$

Note that by hypothesis, for any fixed real number  $\varepsilon > 0$ , there exists  $x_\varepsilon < x$  such that

$$\left| \sum_{d \leq x} \frac{|f'(d)|}{d} - \sum_{d \leq t} \frac{|f'(d)|}{d} \right| < \varepsilon, \quad \forall t \in (x_\varepsilon, x).$$

Consequently, for all  $x > x_\varepsilon$  one has

$$\begin{aligned} \frac{1}{x} \sum_{d \leq x} |f'(d)| &< \frac{1}{x} \sum_{d \leq x} \frac{|f'(d)|}{d} + \frac{1}{x} \int_1^{x_\varepsilon} \left| \sum_{d \leq x} \frac{|f'(d)|}{d} - \sum_{d \leq t} \frac{|f'(d)|}{d} \right| dt + \varepsilon \\ &\leq \frac{1 + 2x_\varepsilon}{x} \sum_{d=1}^{\infty} \frac{|f'(d)|}{d} + \varepsilon. \end{aligned}$$

② It is plain that the hypothesis and ① yield that  $\mathcal{W}_f = C_f$ . From ④ of Prop. [II](#) we get

$$\begin{aligned} \sum_{q \leq x} |\mathcal{W}_f(q) c_q(n)| &\leq \sum_{q \leq x} |c_q(n)| \sum_{\substack{d=0(q) \\ d \leq x}} \frac{|f'(d)|}{d} = \sum_{d=1}^{\infty} \frac{|f'(d)|}{d} \sum_{\substack{q|d \\ q \leq x}} |c_q(n)| \\ &\leq n \sum_{d=1}^{\infty} \frac{2^{\omega(d)}}{d} |f'(d)|, \end{aligned}$$

where the double series on  $d$  and  $q$  converges absolutely because the latter series converges by hypothesis. (In particular,  $\mathcal{R}_{\mathcal{W}_f}(n)$  is absolutely convergent for any fixed  $n$ .)

Hence, we can exchange  $d$  and  $q$  summations, and apply ③ of Proposition [II](#) so that

$$\mathcal{R}_{\mathcal{W}_f}(n) = \sum_{q=1}^{\infty} \mathcal{W}_f(q) c_q(n) = \sum_{d=1}^{\infty} \frac{f'(d)}{d} \sum_{q|d} c_q(n) = \sum_{d=1}^{\infty} f'(d) \mathbf{1}_{d|n} = f(n), \quad \forall n \in \mathbb{N}.$$

③ For  $x \geq n$ , from ① of Proposition [II](#) we get

$$\sum_{q \leq x} g(q) c_q(n) = \sum_{d|n} d \sum_{\substack{q \leq x \\ q=0(d)}} g(q) \mu(q/d),$$

yielding the conclusion immediately. The proposition is completely proved.  $\square$

REMARK 8. We underline that the absolute convergence of  $\mathcal{R}_{\mathcal{W}_f}$  alone does not suffice to conclude that  $f = \mathcal{R}_{\mathcal{W}_f}$ . For example, if  $\mathcal{R}_{\mathcal{W}_f}(n)$  is a finite sum, i.e. there exists  $Q \in \mathbb{N}$  such that  $\mathcal{W}_f(q) = 0$  for all  $q > Q$ , then obviously its convergence is absolute. However, the argument used to prove that  $f = \mathcal{R}_{\mathcal{W}_f}$  in ② is no longer helpful because ③ of Proposition 1 cannot apply to

$$\mathcal{R}_{\mathcal{W}_f}(n) = \sum_{d=1}^{\infty} \frac{f'(d)}{d} \sum_{\substack{q \leq Q \\ q|d}} c_q(n).$$

The reader should compare this case with Remark 4 after Theorem 3 below.

REMARK 9. We give many small new results, now.

Note that  $|c_q(n)| \leq \varphi(q)$  yields

$$\frac{1}{\varphi(q)} \left| \frac{1}{x} \sum_{n \leq x} f(n) c_q(n) \right| \leq \frac{1}{x} \sum_{n \leq x} |f(n)|.$$

Hence, if  $C_f(q)$  and the mean value of  $|f|$  exist, then  $|C_f(q)| \leq \mathcal{M}(|f|) = C_{|f|}(1)$ . As a consequence, if  $C_f(q)$  exists for all  $q \in \mathbb{N}$ , then  $\mathcal{M}(|f|) = 0$  implies  $C_f = \mathbf{0}$ . In particular, this means that a non-negative real function  $f \neq \mathbf{0}$  with a null mean value does not admit its Carmichael coefficients as  $\mathcal{R}$ -coefficients, i.e.  $C_f \notin \langle f \rangle$ . Samples of such functions are the characteristic functions of subsets of  $\mathbb{N}$  with zero density. Indeed, recalling that the density of  $B \subseteq \mathbb{N}$  is

$$\delta(B) \stackrel{def}{=} \lim_{x \rightarrow \infty} \frac{\#\{n \in B : n \leq x\}}{x} \in [0, 1]$$

(provided that such a limit exists), this can be equivalently written as

$$\delta(B) = \lim_{x \rightarrow \infty} \frac{1}{x} \sum_{n \leq x} \mathbf{1}_B(n) = C_B(1),$$

where the first Carmichael coefficient of  $\mathbf{1}_B$  is shortly denoted  $C_B(1)$ . In particular, the set of prime numbers has zero density (esp., from the prime number theorem).

Further, it is well-known [T] that the inverse  $E$ -transform of the Liouville function, i.e.,

$$\lambda(p_1^{\alpha_1} p_2^{\alpha_2} \dots p_r^{\alpha_r}) \stackrel{def}{=} (-1)^{\alpha_1 + \alpha_2 + \dots + \alpha_r},$$

is the characteristic function of the set  $S$  of the square numbers, that has plainly zero density, i.e.  $C_S = \mathbf{0}$ ; a theorem of Landau and von Mangoldt states that  $\sum_{d=1}^{\infty} \frac{\lambda(d)}{d} = 0$

is equivalent to the prime number theorem. Thus, being  $\lambda$  completely multiplicative, we see that  $\sum_{\substack{d=1 \\ d=0(q)}}^{\infty} \frac{\lambda(d)}{d} = \frac{\lambda(q)}{q} \sum_{d=1}^{\infty} \frac{\lambda(d)}{d} = 0$  for all  $q \in \mathbb{N}$ , i.e.  $\mathcal{W}_S = \mathbf{0} = C_S$ .



On the other hand,  $|\mathbf{1}'_S| = |\lambda| = \mathbf{1}$  doesn't satisfy Wintner's criterion hypothesis.

More in general, if  $f \in \mathcal{A}$  is such that  $f'$  is completely multiplicative (c.m.), then

$$\mathcal{W}_f(q) = \sum_{d=0(q)} \frac{f'(d)}{d} = \frac{f'(q)}{q} \sum_{m=1}^{\infty} \frac{f'(m)}{m} = \frac{f'(q)}{q} \mathcal{W}_f(1), \quad \forall q \in \mathbb{N}.$$

Consequently,

$$f' \text{ c.m.}, \quad \mathcal{W}_f(1) = 0 \implies \mathcal{W}_f = \mathbf{0}$$

and also

$$f' \text{ c.m.}, \quad \mathcal{W}_f(1) \neq 0 \text{ and } \mathcal{W}_f(q) = 0, \forall q > Q \implies f'(q) = 0, \forall q > Q.$$

Furthermore, it is easily seen that (notice that here  $f'$  is not necessarily c.m.)

$$f' \geq 0 \text{ and } \mathcal{W}_f(q) = 0, \forall q > Q \implies f'(q) = 0, \forall q > Q.$$

These properties suggest the following

**Conjecture:** *If  $f \in \mathcal{A}$  is such that  $\mathcal{W}_f(1) \neq 0$ , then*

$$\mathcal{W}_f(q) = 0, \forall q > Q \implies f'(q) = 0, \forall q > Q.$$

In §4 it is shown how such a conjecture might replace the Delange hypothesis on the series  $\sum_{d=1}^{\infty} 2^{\omega(d)} f'(d)/d$  within Proposition 2 in pursuing the Wintner-Delange formula for the shifted convolution sums.

REMARK 10. Formula (5) reveals that if  $C_{|f'|}(1) = 0$ , i.e.

$$(6) \quad \sum_{d \leq x} |f'(d)| = o(x), \quad \text{as } x \rightarrow \infty,$$

then  $C_f(q)$  exists if and only if  $\mathcal{W}_f(q)$  does. Further, if this is the case, then  $C_f(q) = \mathcal{W}_f(q)$ . From the proof of Wintner's criterion it transpires that the absolute convergence of  $\sum_{d=1}^{\infty} \frac{f'(d)}{d}$  implies (6), which alone however does not yield the existence of the Wintner coefficients; on the other hand, by taking  $f'(d) = 1/\log(d+1)$  it is easily seen that the converse of such an implication is not true. Moreover, by taking  $f'$  as the Liouville function  $\lambda$ , it is plain that (6) does not hold, while the characteristic function of square numbers  $\mathbf{1}_S = \lambda * \mathbf{1} = f' * \mathbf{1} = f$ , say, satisfies the hypotheses of the next proposition, that is a result of Delange (see the theorem and remark 1.5 in [14]).

PROPOSITION 3. *Let  $f \in \mathcal{A}$  and  $q \in \mathbb{N}$  be such that  $\sum_{n \leq x} |f(n)| = O(x)$  and  $C_f(d)$  exists for all  $d|q$ . Then  $C_f(q) = \mathcal{W}_f(q)$ .*

In particular, by taking  $q = 1$ , this result yields that if  $\sum_{n \leq x} |f(n)| = O(x)$  and there exists the mean value  $\mathcal{M}(f) = C_f(1)$ , then

$$\mathcal{M}(f) = \sum_{d=1}^{\infty} \frac{f'(d)}{d}.$$

### 3. Uniqueness for Ramanujan coefficients

Here we quote from [2] the next theorem, that provides with a kind of converse of Lucht's theorem (see ③ of Proposition 2).

**THEOREM 1.** *Let  $f \in \mathcal{A}$  be such that  $\langle f \rangle_* \neq \emptyset$ .*

① *For any given  $g \in \langle f \rangle_*$  the E-transform of  $f$  is*

$$f' : d \in \mathbb{N} \rightarrow f'(d) = d \sum_{\substack{q=1 \\ q=0(d)}}^{\infty} g(q)\mu(q/d).$$

② *If  $g \in \langle f \rangle_*$  is such that*

$$(7) \quad \sum_{q=1}^{\infty} 2^{\omega(q)} g(q) \text{ converges absolutely,}$$

*then  $g = \mathcal{W}_f$ .*

*Proof.* ① We can exchange the sums in

$$\sum_{d|n} d \sum_{\substack{q=1 \\ q=0(d)}}^{\infty} g(q)\mu(q/d)$$

because  $g$  does not depend on  $n$  by hypothesis. Thus, from ① of Proposition 1 for  $x \geq n$  we get that

$$\sum_{d|n} d \sum_{\substack{q \leq x \\ q=0(d)}}^{\infty} g(q)\mu(q/d) = \sum_{q \leq x} g(q)c_q(n).$$

As  $x \rightarrow \infty$ , it follows that

$$\sum_{d|n} d \sum_{\substack{q=1 \\ q=0(d)}}^{\infty} g(q)\mu(q/d) = \mathcal{R}_g(n) = f(n),$$

yielding that the E-transform of  $f$  is the claimed  $f'$ .

② From ① one has that

$$\mathcal{W}_f(q) = \sum_{\substack{d=1 \\ d=0(q)}}^{\infty} \frac{f'(d)}{d} = \sum_{\substack{d=1 \\ d=0(q)}}^{\infty} \sum_{k=1}^{\infty} \mu(k)g(dk) \quad \forall q \in \mathbb{N}.$$

Now, by using the well-known property [24]

$$\sum_{k|n} \mu(k) = \begin{cases} 1 & \text{if } n = 1, \\ 0 & \text{otherwise,} \end{cases}$$

for any  $q \in \mathbb{N}$  we can write

$$g(q) = \sum_{n=1}^{\infty} g(qn) \sum_{k|n} \mu(k),$$

that converges unconditionally because of (17). Indeed, since  $\omega(qn) \geq \omega(n)$  yields  $2^{\omega(qn)} \geq 2^{\omega(n)}$ , one has

$$\begin{aligned} \sum_{n=1}^{\infty} |g(qn)| \sum_{k|n} \mu^2(k) &= \sum_{n=1}^{\infty} 2^{\omega(n)} |g(qn)| \leq \sum_{n=1}^{\infty} 2^{\omega(qn)} |g(qn)| \\ &= \sum_{\substack{m=1 \\ m=0(q)}}^{\infty} 2^{\omega(m)} |g(m)| \leq \sum_{m=1}^{\infty} 2^{\omega(m)} |g(m)|. \end{aligned}$$

Therefore, we can exchange the sums to get

$$\begin{aligned} g(q) &= \sum_{n=1}^{\infty} g(qn) \sum_{k|n} \mu(k) = \sum_{k=1}^{\infty} \mu(k) \sum_{m=1}^{\infty} g(qmk) = \sum_{m=1}^{\infty} \sum_{k=1}^{\infty} \mu(k) g(qmk) \\ &= \sum_{\substack{d=1 \\ d=0(q)}}^{\infty} \sum_{k=1}^{\infty} \mu(k) g(dk) = \mathcal{W}_f(q). \end{aligned}$$

The theorem is completely proved.  $\square$

REMARK 11. Since any  $g \in \langle f \rangle_*$  determines the  $E$ -transform of  $f$ , the previous theorem establishes the uniqueness of  $g$  modulo  $\langle \mathbf{0} \rangle_*$ .

We refer to (2) of the previous theorem as the *Wintner-Delange uniqueness formula* and (17) is called the “*Dual*” *Delange condition*. In fact, from Theorem 11 it follows that  $\{g \in \langle f \rangle_* : g \text{ satisfies (17)}\}$  is either the empty set or  $\{\mathcal{W}_f\}$ .

REMARK 12. While it is plain that  $\langle \mathbf{0} \rangle_{**} \neq \emptyset$  (for  $\mathbf{0} \in \langle \mathbf{0} \rangle_{**}$ ), it might be possible that  $\langle f \rangle_{**} = \emptyset$  for some  $f \in \mathcal{A}$ . Indeed, recall that there is the possibility that the larger set  $\langle f \rangle_*$  might be empty because the (finite)  $\mathcal{R}$ -expansions ensured by Hildebrand’s theorem are not necessarily pure. The next theorem, quoted from a lemma of [11], gives another positive answer to the uniqueness question posed in Remark 11 (First one coming from Theorem 11). In particular, it implies  $\langle \mathbf{0} \rangle_{**} = \{\mathbf{0}\}$ .

THEOREM 2. For any  $f \in \mathcal{A}$ , either  $\langle f \rangle_{**}$  is the empty set or  $\{C_f\}$ .

*Proof.* We have to show that if  $g \in \langle f \rangle_{**}$ , then

$$g(\ell) = \frac{1}{\varphi(\ell)} \lim_{x \rightarrow \infty} \frac{1}{x} \sum_{h \leq x} f(h) c_{\ell}(h) \quad \forall \ell \in \mathbb{N}.$$

Let  $\ell \in \mathbb{N}$  be fixed. Note that, from the uniform convergence of the  $\mathcal{R}$ -expansion  $f = \mathcal{R}_g$ , it follows that for every  $\varepsilon > 0$  there exists  $Q = Q(\varepsilon, \ell) > \ell$  such that

$$\left| \sum_{q>Q} g(q)c_q(h) \right| < \frac{\varepsilon}{\mathbf{d}(\ell)}, \quad \forall h \in \mathbb{N},$$

where  $\mathbf{d}(\ell) \stackrel{\text{def}}{=} \sum_{t|\ell} 1$  is the number of positive divisors of  $\ell$ . Since the expansion  $f = \mathcal{R}_g$  is also pure, this entails

$$\frac{1}{x} \sum_{h \leq x} f(h)c_\ell(h) = \sum_{q \leq Q} g(q) \frac{1}{x} \sum_{h \leq x} c_\ell(h)c_q(h) + \frac{1}{x} \sum_{h \leq x} c_\ell(h) \sum_{q>Q} g(q)c_q(h).$$

Recalling ④ of Proposition III from which in particular one has that

$$\lim_{x \rightarrow \infty} \frac{1}{x} \sum_{h \leq x} c_\ell(h)^2 = \varphi(\ell),$$

and applying  $|c_\ell(h)| \leq (\ell, h)$  (see ② of Proposition III), we can write

$$\begin{aligned} \left| \frac{1}{\varphi(\ell)} \lim_{x \rightarrow \infty} \frac{1}{x} \sum_{h \leq x} f(h)c_\ell(h) - \frac{1}{\varphi(\ell)} \sum_{q \leq Q} g(q) \lim_{x \rightarrow \infty} \frac{1}{x} \sum_{h \leq x} c_\ell(h)c_q(h) \right| &= \\ \left| \frac{1}{\varphi(\ell)} \lim_{x \rightarrow \infty} \frac{1}{x} \sum_{h \leq x} f(h)c_\ell(h) - g(\ell) \right| &\leq \\ \frac{\varepsilon}{\varphi(\ell)\mathbf{d}(\ell)} \lim_{x \rightarrow \infty} \frac{1}{x} \sum_{h \leq x} (\ell, h). \end{aligned}$$

Therefore, the conclusion follows once it is proved that

$$\lim_{x \rightarrow \infty} \frac{1}{x} \sum_{h \leq x} (\ell, h) = \sum_{d|\ell} \frac{\varphi(d)}{d}.$$

To this end, we write

$$\begin{aligned} \frac{1}{x} \sum_{h \leq x} (\ell, h) &= \frac{1}{x} \sum_{t|\ell} t \sum_{\substack{h' \leq \frac{x}{t} \\ (h', \frac{\ell}{t})=1}} 1 = \frac{1}{x} \sum_{t|\ell} t \sum_{d|\frac{\ell}{t}} \mu(d) \left[ \frac{x}{dt} \right] \\ &= \sum_{t|\ell} \sum_{d|\frac{\ell}{t}} \frac{\mu(d)}{d} + O\left( \frac{1}{x} \sum_{t|\ell} t \mathbf{d}(\ell/t) \right) \\ &= \sum_{t|\ell} \frac{\varphi(\ell/t)}{\ell/t} + o(1) = \sum_{d|\ell} \frac{\varphi(d)}{d} + o(1). \end{aligned}$$

The theorem is completely proved.  $\square$

REMARK 13. To emphasize the fact that the  $\mathcal{R}$ -coefficients of  $f$  are uniquely determined, we set

$$\widehat{f} \stackrel{\text{def}}{=} C_f;$$

or also, in the hypotheses of Theorem [11](#),

$$\widehat{f} \stackrel{\text{def}}{=} \mathcal{W}_f.$$

More generally, we write  $\widehat{f} \stackrel{\text{def}}{=} g$  even if  $\langle f \rangle = g + \langle \mathbf{0} \rangle$  or  $\langle f \rangle_* = g + \langle \mathbf{0} \rangle_*$ .

The next theorem yields the uniqueness of the  $\mathcal{R}$ -coefficients for pure and finite  $\mathcal{R}$ -expansions (see the following remark).

THEOREM 3.  $f \in \mathcal{A}_Q \Leftrightarrow \exists g \in \langle f \rangle_* \cap \langle f \rangle_\#$  such that  $f =_{\#} \mathcal{R}_g$  has length at most  $Q$ .

*Proof.* Let  $f'$  be the  $Q$ -truncated  $E$ -transform of  $f$ . By [3](#) of Proposition [11](#) we see that

$$f(n) = \sum_{\substack{d \leq Q \\ d|n}} f'(d) = \sum_{d \leq Q} \frac{f'(d)}{d} \sum_{q|d} c_q(n) = \sum_{q \leq Q} \mathcal{W}_f(q) c_q(n),$$

where

$$(8) \quad \mathcal{W}_f(q) \stackrel{\text{def}}{=} \begin{cases} \sum_{\substack{d \leq Q \\ d=0(q)}} \frac{f'(d)}{d} & \text{if } q \leq Q, \\ 0 & \text{otherwise,} \end{cases}$$

is a  $Q$ -truncated Wintner coefficient, say. It is plain that  $\mathcal{W}_f \in \langle f \rangle_* \cap \langle f \rangle_\#$ .

Vice versa, let  $g \in \langle f \rangle_* \cap \langle f \rangle_\#$  be such that the length of the expansion  $f(n) =_{\#} \mathcal{R}_g(n)$  is at most  $Q$  for all  $n \in \mathbb{N}$ . By applying [1](#) of Proposition [11](#) we write

$$f(n) = \sum_{q \leq Q} g(q) c_q(n) = \sum_{d|n} d \sum_{\substack{q \leq Q \\ q=0(d)}} g(q) \mu(q/d) = \sum_{\substack{d \leq Q \\ d|n}} f'(d),$$

where we have set

$$f'(d) \stackrel{\text{def}}{=} \begin{cases} d \sum_{\substack{q \leq Q \\ q=0(d)}} g(q) \mu(q/d) & \text{if } d \leq Q, \\ 0 & \text{otherwise.} \end{cases}$$

The theorem is completely proved.  $\square$

REMARK 14. Theorems [2](#) and [3](#) imply that  $\langle f \rangle_* \cap \langle f \rangle_\# = \{C_f\} = \{\mathcal{W}_f\}$  with  $\mathcal{W}_f$  defined as in [8](#). In particular, note that

$$\frac{Q}{2} < q \leq Q \implies \widehat{f}(q) = \mathcal{W}_f(q) = \frac{f'(q)}{q}$$

(for  $q > Q/2$ , the conditions  $d \leq Q$ ,  $q|d$  hold simultaneously if and only if  $d = q$ ). Also, see that if we assume the conjecture in Remark 9 for  $f \in \mathcal{A}$  with  $\mathcal{W}_f(1) \neq 0$ , from Theorems 2 and 3 we get

$$\mathcal{W}_f(q) = 0 \quad \forall q > Q \implies f \in \mathcal{A}_Q \implies f =_{\#} \mathcal{R}_{\widehat{f}},$$

where it turns out that  $\widehat{f} = C_f = \mathcal{W}_f$  is given by (8).

Finally, we underline the fact that the above proof provides with an explicit method to express a truncated divisor sum as a finite  $\mathcal{R}$ -expansion, and vice versa.

#### 4. Ramanujan expansions of shifted convolution sums

DEFINITION 4. The **correlation** (or *shifted convolution sum*) of  $f, g \in \mathcal{A}$  is

$$C_{f,g}(N, a) \stackrel{\text{def}}{=} \sum_{n \leq N} f(n)g(n+a).$$

Since without loss of generality one can assume that  $f(N)g(N+a) \neq 0$ , the number  $N$  is the length of such a correlation. Here  $a \in \mathbb{N}$  is the **shift**.

From (2) it follows that

$$(9) \quad C_{f,g}(N, a) = \sum_q h(a, q) c_q(a), \quad \forall a \in \mathbb{N},$$

for some  $h(a, q) = h(a, q, f, g, N) \in \mathbb{C}$ . We refer to (9) as the *shift  $\mathcal{R}$ -expansion* of the correlation  $C_{f,g}$ . On the other hand, denoting by  $f', g'$  the  $E$ -transforms of  $f, g$ , respectively, we see that

$$(10) \quad C_{f,g}(N, a) = \sum_{n \leq N} \sum_{d|n} f'(d) \sum_{q|n+a} g'(q),$$

where observe that the conditions  $n \leq N$  and  $d|n$  yield  $d \leq N$  in the second sum, while  $n \leq N$  and  $q|n+a$  yield  $q \leq N+a$  in the third sum. In other words, within their correlation of length  $N$ , the functions  $f$  and  $g$  can be replaced respectively by the truncated divisor sums associated to  $f$  and  $g$ , of range respectively  $N$  and  $N+a$ , i.e.

$$f_N(n) \stackrel{\text{def}}{=} \sum_{\substack{d \leq N \\ d|n}} f'(d), \quad g_{N+a}(n+a) \stackrel{\text{def}}{=} \sum_{\substack{q \leq N+a \\ q|n+a}} g'(q).$$

These functions admit pure (w.r.t  $n$  and  $n+a$ , respectively) and finite  $\mathcal{R}$ -expansions because of Theorem 3 (see also Remark 4). However, by plugging such expansions into (10) we can only get a finite expansion as

$$C_{f,g}(N, a) = \sum_{d \leq N} \sum_{q \leq N+a} \widehat{f}_N(d) \widehat{g}_{N+a}(q) \sum_{n \leq N} c_d(n) c_q(n+a).$$

Evidently, the latter cannot be considered as a shift  $\mathcal{R}$ -expansion of the form (9). On the other hand, by combining (4) of Proposition 1 with the Wintner-Delange formula of Proposition 2, the  $\mathcal{R}$ -expansions of the above truncated divisor sums can help in finding a pure and finite  $\mathcal{R}$ -expansion, which well approximates  $C_{f,g}(N, a)$ , provided that this correlation is *fair* and both functions  $f$  and  $g$  satisfy the *Ramanujan Conjecture* (see [10], [11]), accordingly to the following definitions.

**DEFINITION 5.** *The correlation  $C_{f,g}(N, a)$  of  $f, g \in \mathcal{A}$  is fair if it depends on  $a$  only because of the argument  $n + a$  of  $g$ .*

**DEFINITION 6.** *We say that  $f \in \mathcal{A}$  satisfies the Ramanujan Conjecture (or equivalently that  $f$  is essentially bounded) if  $f(n) \ll_\varepsilon n^\varepsilon$  for any real number  $\varepsilon > 0$ . In this case, we also write  $f \ll\ll 1$ . We denote the set of the essentially bounded arithmetic functions by  $\mathcal{A}^\varepsilon$ .*

For example, the correlation  $C_{f,g}(N, a)$  is not fair if the support of  $f$  or  $g$  depends on  $a$  (see [11] for a specific example). In particular, note that the expression (10) is not fair in that the support of  $g'$  does depend on  $a$ . If  $f, g \in \mathcal{A}^\varepsilon$  (consequently, also  $f', g' \in \mathcal{A}^\varepsilon$ ), then somehow we can get rid of such a nuisance by writing

$$\begin{aligned} C_{f,g}(N, a) &= \sum_{n \leq N} \sum_{d|n} f'(d) \sum_{\substack{q \leq N \\ q|n+a}} g'(q) + \sum_{n \leq N} \sum_{d|n} f'(d) \sum_{\substack{N < q \leq N+a \\ q|n+a}} g'(q) \\ &= \sum_{n \leq N} \sum_{d|n} f'(d) \sum_{\substack{q \leq N \\ q|n+a}} g'(q) + \sum_{N < q \leq N+a} g'(q) \sum_{\substack{n \leq N \\ n \equiv -a(q)}} \sum_{d|n} f'(d). \end{aligned}$$

Since for  $q > N$  one has that  $\#\{n \leq N : n \equiv -a(q)\} \leq 1$ , the second sum on the right hand side is  $\ll aN^\varepsilon \max_{N < q \leq N+a} |g'(q)| \max_{n \leq N} |f'(n)|$ . In all, we have for  $f, g \in \mathcal{A}^\varepsilon$  that

$$C_{f,g}(N, a) = C_{f,g_N}(N, a) + O_\varepsilon(N^\varepsilon(N+a)^\varepsilon a).$$

Thus, we are reduced to deal with the correlation of the truncated divisor sums  $f_N, g_N$  of the same range  $N$ . For this reason we'll assume that  $g \in \mathcal{A}_N$  (and, concerning  $C_{f,g}(N, a)$ , the hypothesis  $f \in \mathcal{A}$  is equivalent to  $f \in \mathcal{A}_N$ ). Using the finite  $\mathcal{R}$ -expansion of length at most  $N$  for  $g$  (see Theorem 3), namely  $g(m) = \sum_{q \leq N} \widehat{g}(q) c_q(m)$ ,

$$(11) \quad C_{f,g}(N, a) = \sum_{q \leq N} \widehat{g}(q) \sum_{n \leq N} f(n) c_q(n+a), \quad \forall a \in \mathbb{N}.$$

Note that  $C_{f,g}(N, a)$  is fair, provided that neither  $\widehat{g}$  nor  $f$  depends on  $a$ . By using (5) of Proposition 1 we calculate its Carmichael coefficients (we set  $C_C = C_{C_{f,g}}$  for brevity):

$$(12) \quad \begin{aligned} C_C(N, \ell) &= \frac{1}{\varphi(\ell)} \sum_{q \leq N} \widehat{g}(q) \sum_{n \leq N} f(n) \lim_{x \rightarrow \infty} \frac{1}{x} \sum_{a \leq x} c_q(n+a) c_\ell(a) \\ &= \begin{cases} \frac{\widehat{g}(\ell)}{\varphi(\ell)} \sum_{n \leq N} f(n) c_\ell(n) & \text{if } \ell \leq N, \\ 0 & \text{if } \ell > N. \end{cases} \end{aligned}$$

Assuming that the  $E$ -transform  $C'_{f,g}$  satisfies the Delange hypothesis (see Prop. [2](#)), i.e.

$$(13) \quad \sum_d \frac{2^{\omega(d)} C'_{f,g}(N, d)}{d} \quad \text{converges absolutely,}$$

Proposition [2](#), Theorems [2](#) and [3](#) yield the so-called *Ramanujan exact explicit formula* (REEF)

$$C_{f,g}(N, a) = \sum_{q \leq N} \mathcal{C}_C(N, q) c_q(a) = \sum_{q \leq N} \left( \frac{\widehat{g}(q)}{\varphi(q)} \sum_{n \leq N} f(n) c_q(n) \right) c_q(a), \quad \forall a \in \mathbb{N}.$$

Without having [\(13\)](#) at our disposal we can proceed as it follows. Let us write

$$C_{f,g}(N, a) = \sum_{\substack{d \leq N \\ d|a}} C'_{f,g}(N, d) + \sum_{\substack{d > N \\ d|a}} C'_{f,g}(N, d) = \sum_I(a) + \sum_{II}(a), \quad \text{say,}$$

where clearly  $\sum_{II}(a) = 0$ , unless  $a > N$ . Since  $\sum_I(a)$  is a truncated divisor sum, from Theorem [3](#) we get

$$C_{f,g}(N, a) = \sum_{q \leq N} \mathcal{W}_C(N, q) c_q(a) + \sum_{II}(a)$$

with

$$\mathcal{W}_C(N, q) \stackrel{\text{def}}{=} \begin{cases} \sum_{\substack{h \leq N \\ h \equiv 0(q)}} \frac{C'_{f,g}(N, h)}{h} & \text{if } q \leq N, \\ 0 & \text{otherwise.} \end{cases}$$

Therefore, calculating the Carmichael coefficients of

$$\sum_{q \leq N} \mathcal{W}_C(N, q) c_q(a) = \begin{cases} C_{f,g}(N, a) - \sum_{II}(a) & \text{if } a > N, \\ C_{f,g}(N, a) & \text{if } a \leq N, \end{cases}$$

from [\(12\)](#) it follows that (recall the discussion on the *uniqueness* in Remark [14](#))

$$\begin{aligned} \mathcal{W}_C(N, q) &= \frac{1}{\varphi(q)} \lim_{x \rightarrow \infty} \frac{1}{x} \sum_{m \leq N} C_{f,g}(N, m) c_q(m) + \\ &\quad \frac{1}{\varphi(q)} \lim_{x \rightarrow \infty} \frac{1}{x} \sum_{N < m \leq x} \left( C_{f,g}(N, m) - \sum_{\substack{d > N \\ d|m}} C'_{f,g}(N, d) \right) c_q(m) \\ &= \mathcal{C}_C(N, q) - \mathcal{L}(N, q), \quad \forall q \in \mathbb{N}, \end{aligned}$$

where

$$\mathcal{L}(N, q) = \mathcal{L}(f, g, N, q) \stackrel{\text{def}}{=} \frac{1}{\varphi(q)} \lim_{x \rightarrow \infty} \frac{1}{x} \sum_{N < m \leq x} c_q(m) \sum_{\substack{d > N \\ d|m}} C'_{f,g}(N, d), \quad \forall q \in \mathbb{N}.$$

In particular,  $\mathcal{C}_C(N, q) = \mathcal{L}(N, q)$ ,  $\forall q > N$ , because  $\mathcal{W}_C(N, q) = 0$ ,  $\forall q > N$ .



Therefore, under the only hypothesis that the correlation  $C_{f,g}$  is fair, we obtain

$$\begin{aligned} C_{f,g}(N, a) &= \sum_{q \leq N} (C_C(N, q) - \mathcal{L}(N, q)) c_q(a) + \sum_{II}(a) \\ &= \sum_{q \leq N} \left( \frac{\widehat{g}(q)}{\widehat{\varphi}(q)} \sum_{n \leq N} f(n) c_q(n) - \mathcal{L}(N, q) \right) c_q(a) + \sum_{II}(a), \quad \forall a \in \mathbb{N}, \end{aligned}$$

where

$$\sum_{II}(a) \stackrel{def}{=} \begin{cases} \sum_{\substack{d > N \\ d|a}} C'_{f,g}(N, d) & \text{if } a > N, \\ 0 & \text{if } a \leq N. \end{cases}$$

Hence, the following theorem and corollary are proved.

**THEOREM 4.** *If  $f \in \mathcal{A}$  and  $g \in \mathcal{A}_N$  are such that  $C_{f,g}(N, a)$  is fair; then*

$$C_{f,g}(N, a) = \sum_{q \leq N} \left( \frac{\widehat{g}(q)}{\widehat{\varphi}(q)} \sum_{n \leq N} f(n) c_q(n) - \mathcal{L}(N, q) \right) c_q(a) + \sum_{II}(a),$$

where  $\sum_{II}(a)$  is defined above,

$$\mathcal{L}(N, q) = \mathcal{L}(f, g, N, q) \stackrel{def}{=} \frac{1}{\widehat{\varphi}(q)} \lim_{x \rightarrow \infty} \frac{1}{x} \sum_{N < m \leq x} c_q(m) \sum_{\substack{d > N \\ d|m}} C'_{f,g}(N, d),$$

and  $C'_{f,g}$  is the E-transform of  $C_{f,g}$ .

In particular, if  $\sum_{d=1}^{\infty} \frac{2^{\omega(d)}}{d} |C'_{f,g}(N, d)|$  converges, then for all  $a \in \mathbb{N}$  one has the REEF:

$$C_{f,g}(N, a) = \sum_{q \leq N} \widehat{C}_{f,g}(N, q) c_q(a), \quad \text{with} \quad \widehat{C}_{f,g}(N, q) = \frac{\widehat{g}(q)}{\widehat{\varphi}(q)} \sum_{n \leq N} f(n) c_q(n).$$

**COROLLARY 1.** *Let  $f, g \in \mathcal{A}^\varepsilon$ . If  $C_{f,g_N}(N, a)$  is fair and such that the series  $\sum_{d=1}^{\infty} \frac{2^{\omega(d)}}{d} |C'_{f,g_N}(N, d)|$  converges, then for all  $a \in \mathbb{N}$  one has*

$$C_{f,g}(N, a) = \sum_{q \leq N} \left( \frac{\widehat{g}_N(q)}{\widehat{\varphi}(q)} \sum_{n \leq N} f(n) c_q(n) \right) c_q(a) + O_\varepsilon(N^\varepsilon (N+a)^\varepsilon a).$$

**REMARK 15.** Given  $f \in \mathcal{A}$  and  $g \in \mathcal{A}_N$ , assuming that  $\sum_{d=1}^{\infty} \frac{C'_{f,g}(N, d)}{d}$  converges absolutely, from Wintner's criterion it follows that  $C_{f,g}(N, a)$  has both Carmichael and Wintner coefficients with  $C_C(N, q) = \mathcal{W}_C(N, q)$  for all  $q \in \mathbb{N}$ . In particular, (12) yields that  $\mathcal{W}_C(N, q) = 0$  for all  $q > N$ . From this, if we further assume the conjecture formulated in Remark 9, we get that  $C'_{f,g}(N, d) = 0$  for all  $d > N$ .

Besides the consequence that the above series reduces to the finite sum of length at most  $N$ , such a conjecture yields the REEF without the Delange hypothesis (13). In other words, such a conjecture is an alternative way to get the REEF of Theorem 4.

REMARK 16. Assume that  $f \in \mathcal{A}$  and  $g \in \mathcal{A}_Q$ , with  $Q \leq N$ , are such that  $C_{f,g}(N, a)$  is fair. From (11) it follows that  $C_{f,g}(N, a)$  is periodic with respect to  $a$ , which implies that it is a bounded arithmetic function of  $a$ . Together with (12), this reveals that  $C_{f,g}(N, a)$  satisfies the hypotheses of Proposition 3, so that its Carmichael coefficients coincide with its Wintner ones.

Now, let us quote here the main result of [11].

THEOREM 5. *Let  $f \in \mathcal{A}^\varepsilon$  and  $g \in \mathcal{A}_N \cap \mathcal{A}^\varepsilon$  be such that  $C_{f,g}(N, a)$  is fair for all  $a \in \mathbb{N}$  and admits the shift  $\mathcal{R}$ -expansion (9). The following propositions are equivalent:*

- ① *The shift  $\mathcal{R}$ -expansion (9) is completely uniform, i.e. it is pure, with  $h(a, q) = h(q)$ , and converges uniformly with respect to  $a$ .*
- ② *The coefficients of (9) are the Carmichael coefficients of  $C_{f,g}(N, a)$ , i.e.*

$$h(a, q) = h(q) = \frac{1}{\varphi(q)} \lim_{x \rightarrow \infty} \frac{1}{x} \sum_{n \leq x} C_{f,g}(N, n) c_q(n).$$

- ③ *The coefficients of (9) are the REEF coefficients of  $C_{f,g}(N, a)$ , i.e.*

$$h(a, q) = h(q) = \widehat{C}_{f,g}(N, q) = \frac{\widehat{g}(q)}{\varphi(q)} \sum_{n \leq N} f(n) c_q(n).$$

- ④ *The shift  $\mathcal{R}$ -expansion (9) is finite and pure.*

We underline the latter equivalence between the condition  $\langle C_{f,g} \rangle_* \cap \langle C_{f,g} \rangle_\# \neq \emptyset$  and the REEF. It highlights the fundamental role of Theorem 3 in this new approach to the  $\mathcal{R}$ -expansions of the correlations. Moreover, Corollary 1 has to be compared to the following result that can be proved in a similar fashion of Corollary 1 in [11].

THEOREM 6. *Let  $g \in \mathcal{A}^\varepsilon$  and  $f \in \mathcal{A}_D \cap \mathcal{A}^\varepsilon$ , with  $D < N^{1-\delta}$  for some  $\delta \in (0, 1)$ . If  $C_{f,g_N}(N, a)$  is fair and such that  $\sum_{d=1}^{\infty} \frac{2^{\omega(d)}}{d} |C'_{f,g_N}(N, d)|$  converges, then uniformly for all  $a \in \mathbb{N}$  one has*

$$C_{f,g}(N, a) = \mathfrak{S}_{f,g}(a)N + O(N^{1-\delta}) + O_\varepsilon(N^\varepsilon(N+a)^\varepsilon a),$$

where  $\mathfrak{S}_{f,g}$  is the so-called **singular sum** defined as

$$\mathfrak{S}_{f,g}(a) \stackrel{\text{def}}{=} \sum_{q \leq N} \widehat{f}(q) \widehat{g}(q) c_q(a), \quad \forall a \in \mathbb{N}.$$

The elements of  $\mathcal{A}_D \cap \mathcal{A}^\varepsilon$  are known as *sieve functions* (of range  $D$ ). We refer the reader to [4]-[9] for further deepening about such a class of functions.

We conclude the present section by quoting a result from [1] on the convolution sum of the von Mangoldt function  $\Lambda$ . Indeed, in [1] Corollary [1](#) is applied by taking  $f = g = \Lambda$ , that clearly belongs to  $\mathcal{A}^\varepsilon$ . From the well-known property [24]

$$\Lambda(n) = - \sum_{d|n} \mu(d) \log d,$$

it follows that its  $E$ -transform is  $\Lambda'(n) = -\mu(n) \log n$ . Further, for the  $N$ -truncated divisor sum of  $\Lambda$  we have (see Theorem [3](#))

$$\Lambda_N(n) = - \sum_{\substack{d \leq N \\ d|n}} \mu(d) \log d = \sum_{q \leq N} \widehat{\Lambda}_N(q) c_q(n),$$

with

$$\widehat{\Lambda}_N(q) \stackrel{\text{def}}{=} - \sum_{\substack{d \leq N \\ d \equiv 0(q)}} \frac{\mu(d) \log d}{d} \ll \frac{L^2}{q},$$

where we have set  $L \stackrel{\text{def}}{=} \log N$ . Therefore, since  $C_{\Lambda, \Lambda_N}(N, a)$  is fair, by assuming that  $\sum_{d=1}^{\infty} \frac{2^{\omega(d)}}{d} C'_{\Lambda, \Lambda_N}(N, d)$  converges absolutely, Corollary [1](#) yields

$$C_{\Lambda, \Lambda}(N, a) = \sum_{q \leq N} \left( \frac{\widehat{\Lambda}_N(q)}{\Phi(q)} \sum_{n \leq N} \Lambda(n) c_q(n) \right) c_q(a) + O_\varepsilon(N^\varepsilon (N+a)^\varepsilon a).$$

The result established in [1] shows that the Delange hypothesis for  $C_{\Lambda, \Lambda_N}(N, 2k)$  yields the Hardy-Littlewood conjecture for the  $2k$ -twin primes [17]. Here it is stated as a further corollary of Theorem [4](#).

**COROLLARY 2.** *Let  $k \in \mathbb{N}$  be such that  $0 < k < N^{1-\delta}$ , with  $\delta \in (0, 1/2)$  fixed.*

*If  $\sum_{d=1}^{\infty} \frac{2^{\omega(d)}}{d} |C'_{\Lambda, \Lambda_N}(N, d)|$  converges, then*

$$C_{\Lambda, \Lambda}(N, 2k) = \mathfrak{S}_{\Lambda, \Lambda}(2k)N + O(Ne^{-c\sqrt{\log N}}),$$

where  $c > 0$  is an absolute constant and

$$\mathfrak{S}_{\Lambda, \Lambda}(2k) \stackrel{\text{def}}{=} \sum_{q=1}^{\infty} \frac{\mu^2(q)}{\Phi^2(q)} c_q(2k) = 2 \prod_{p|k} \left( 1 + \frac{1}{p-1} \right) \prod_{(p, 2k)=1} \left( 1 - \frac{1}{(p-1)^2} \right).$$

## 5. Ramanujan expansions and smooth numbers

In the present section we resume some results of [3], where it is showed that all essentially bounded functions, with  $E$ -transform supported on smooth numbers, admit a unique  $\mathcal{R}$ -expansion with coefficients satisfying the ‘‘Dual’’ Delange condition [\(14\)](#).

DEFINITION 7. Let  $Q \geq 2$  be an integer.

The set of the  $Q$ -smooth positive integers is  $\mathcal{S} = \mathcal{S}(Q) \stackrel{\text{def}}{=} \{n \in \mathbb{N} : p|n \Rightarrow p \leq Q\} \cup \{1\}$ .

The set of the  $Q$ -sifted positive integers is  $\mathcal{T} = \mathcal{T}(Q) \stackrel{\text{def}}{=} \{n \in \mathbb{N} : p \leq Q \Rightarrow p \nmid n\}$ .

REMARK 17. Note that  $\mathcal{S} \cap \mathcal{T} = \{1\}$  and  $(n, m) = 1$  for all  $n \in \mathcal{S}, m \in \mathcal{T}$ . Further, any  $n \in \mathcal{S}$  can be written as  $n = p_1^{v_1} \cdots p_r^{v_r}$ , for some integers  $v_j \geq 0, j = 1, \dots, r = \pi(Q)$ , where  $\pi(Q) = \#\{p \leq Q : p \text{ prime}\}$  and  $2 = p_1, p_2, \dots, p_r$  are all the consecutive prime numbers  $\leq Q$ . Thus, for any real number  $x > 1$  and for all  $\varepsilon > 0$  one has

$$\#\mathcal{S} \cap [1, x] \leq \sum_{\substack{n \in \mathcal{S} \\ n \leq x}} \frac{x^\varepsilon}{n^\varepsilon} \ll x^\varepsilon \sum_{v_1=0}^{\infty} \cdots \sum_{v_r=0}^{\infty} \frac{1}{p_1^{\varepsilon v_1}} \cdots \frac{1}{p_r^{\varepsilon v_r}} = x^\varepsilon \prod_{p \leq Q} \frac{1}{1 - p^{-\varepsilon}} \ll_{\varepsilon, Q} x^\varepsilon.$$

Similarly, if  $\varepsilon \in (0, 1)$ , we see that

$$\sum_{m \in \mathcal{S}} \frac{1}{m^{1-\varepsilon}} \ll_{\varepsilon, Q} 1.$$

Moreover, from the Legendre formula applied to  $\#\mathcal{T} \cap [1, x] = \#\{n \leq x : (n, P_Q) = 1\}$ , where  $P_Q = \prod_{p \leq Q} p$ , it follows that

$$\#\mathcal{T} \cap [1, x] = \sum_{d|P_Q} \mu(d) \left[ \frac{x}{d} \right] = x \sum_{d|P_Q} \frac{\mu(d)}{d} + O\left( \sum_{d|P_Q} |\mu(d)| \right) = x \prod_{p \leq Q} \left( 1 - \frac{1}{p} \right) + O_Q(1).$$

DEFINITION 8. Let  $Q \geq 2$  be an integer. The  $Q$ -smooth restriction of  $f \in \mathcal{A}$  is the arithmetic function defined as

$$f_{\mathcal{S}}(n) \stackrel{\text{def}}{=} \sum_{\substack{d|n \\ d \in \mathcal{S}}} f'(d), \quad \forall n \in \mathbb{N},$$

where  $f'$  is the  $E$ -transform of  $f$ .

REMARK 18. It is plain that  $f_{\mathcal{S}}$  is the inverse  $E$ -transform of  $f' \cdot \mathbf{1}_{\mathcal{S}}$ , where  $\mathbf{1}_{\mathcal{S}}$  is the characteristic function of  $\mathcal{S}$ . Also note that  $f_{\mathcal{S}}(n) = f(n)$  for all  $n \in \mathcal{S}$ . Further, one has

$$f_{\mathcal{S}}(n) = \sum_{t \in \mathcal{S}_n} f(t), \quad \text{where } \mathcal{S}_n \stackrel{\text{def}}{=} \{t \in \mathcal{S} : t|n \text{ and } n/t \in \mathcal{T}\}.$$

Indeed, this is trivially true for  $n = 1$ .

If  $n \geq 2$ , recall that  $f' = f * \mu$  and use the (complete) multiplicativity of  $\mathbf{1}_{\mathcal{S}}$ , to get it:

$$\begin{aligned} f_{\mathcal{S}}(n) &= \sum_{\substack{d|n \\ d \in \mathcal{S}}} \sum_{t|d} f(t) \mu\left(\frac{d}{t}\right) = \sum_{\substack{t \in \mathcal{S} \\ t|n}} f(t) \sum_{\substack{k \in \mathcal{S} \\ k|\frac{n}{t}}} \mu(k) \\ &= \sum_{\substack{t \in \mathcal{S} \\ t|n}} f(t) \sum_{k|\frac{n}{t}} \mu(k) \mathbf{1}_{\mathcal{S}}(k) = \sum_{\substack{t \in \mathcal{S} \\ t|n}} f(t) \prod_{p|\frac{n}{t}} (1 - \mathbf{1}_{\mathcal{S}}(p)) = \sum_{\substack{t \in \mathcal{S} \\ t|n}} f(t) \mathbf{1}_{\mathcal{T}}(n/t). \end{aligned}$$

LEMMA 1. Given any integer  $Q \geq 2$ , let us consider the set  $S = S(Q)$  of the  $Q$ -smooth positive integers. For any  $f \in \mathcal{A}^\varepsilon$ , with  $f' = f * \mu$ , one has

$$\begin{aligned} \textcircled{1} \quad & \sum_{t \in S} \frac{|f(t)c_q(t)|}{t} \ll_{\varepsilon, q, Q} 1 \quad \text{for all } q \in \mathbb{N} \\ \textcircled{2} \quad & \sum_{t \in S} \frac{|f'(t)|}{t} \ll_{\varepsilon, Q} 1 \quad \text{and} \quad \sum_{t \in S} \frac{2^{\omega(t)}|f'(t)|}{t} \ll_{\varepsilon, Q} 1. \end{aligned}$$

*Proof.* Without loss of generality, we can assume that  $\varepsilon \in (0, 1)$ .

① Using ② of Prop. [1](#) and the inequality  $\sum_{m \in S} m^{\varepsilon-1} \ll_{\varepsilon, Q} 1$  (see Remark [17](#)), we get

$$\sum_{t \in S} \frac{|f(t)c_q(t)|}{t} \ll_{\varepsilon} \sum_{t \in S} (q, t)t^{\varepsilon-1} \ll_{\varepsilon} \sum_{\substack{d \in S \\ d|q}} d \sum_{\substack{t \in S \\ t \equiv 0(d)}} t^{\varepsilon-1} \ll_{\varepsilon} \sum_{\substack{d \in S \\ d|q}} d^{\varepsilon} \sum_{m \in S} m^{\varepsilon-1} \ll_{\varepsilon, q, Q} 1.$$

② Recalling that  $f' \in \mathcal{A}^\varepsilon$  and arguing as before, we see that

$$\sum_{t \in S} \frac{|f'(t)|}{t} \ll_{\varepsilon} \sum_{t \in S} t^{\varepsilon-1} \ll_{\varepsilon, Q} 1.$$

Since  $2^{\omega(t)} \leq 2^{\pi(Q)}$  for all  $t \in S$ , the second inequality follows from the first one.  $\square$

THEOREM 7. Let  $Q \geq 2$  be an integer. For any  $f \in \mathcal{A}^\varepsilon$ , let us consider the  $Q$ -smooth restriction  $f_S$ , where  $S = S(Q)$  is the set of the  $Q$ -smooth positive integers. The Carmichael coefficients of  $f_S$  and the Wintner ones coincide, both given by

$$(14) \quad \widehat{f}_S(q) = \begin{cases} \frac{\tilde{f}(q, S)}{\Phi(q)} \prod_{p \leq Q} \left(1 - \frac{1}{p}\right) = \sum_{\substack{d \in S \\ d \equiv 0(q)}} \frac{f'(d)}{d} & \text{if } q \in S, \\ 0 & \text{otherwise,} \end{cases}$$

where we set

$$\tilde{f}(q, S) \stackrel{\text{def}}{=} \sum_{t \in S} \frac{f(t)c_q(t)}{t}.$$

Further, one has  $\widehat{f}_S \in \langle f_S \rangle$ , i.e.

$$(15) \quad f_S(a) = \sum_{q \in S} \widehat{f}_S(q)c_q(a), \quad \forall a \in \mathbb{N},$$

and  $\widehat{f}_S$  satisfies the “Dual” Delange condition [18](#).

*Proof.* Without loss of generality, we can assume that  $\varepsilon \in (0, 1/2)$ . First, note that  $\tilde{f}(q, S)$  is well-defined for all  $q \in \mathbb{N}$  because of ① in Lemma [1](#). Then, recalling that  $f' \cdot \mathbf{1}_S$  is the  $E$ -transform of  $f_S$ , the second inequality in ② of Lemma [1](#) implies that the hypothesis of the Wintner-Delange formula holds for  $f_S$ .

Therefore, it follows from ② of Proposition 1 that Carmichael coefficients of  $f_S$  equal Wintner ones and they are  $\mathcal{R}$ -coefficients for  $f_S$ . Being such coefficients uniquely determined (see the next remark), we denote the  $q$ th coefficient by  $\widehat{f}_S(q)$ . In particular, since it is plain that the conditions  $d \in S$  and  $q|d$  imply that  $q \in S$ , the  $q$ th Wintner coefficient of  $f_S$  is

$$\widehat{f}_S(q) = \begin{cases} \sum_{d \equiv 0(q)} \frac{(f' \cdot \mathbf{1}_S)(d)}{d} = \sum_{\substack{d \in S \\ d \equiv 0(q)}} \frac{f'(d)}{d} & \text{if } q \in S, \\ 0 & \text{otherwise.} \end{cases}$$

Consequently, recalling that  $\sum_{m \in S} m^{\varepsilon-1} \ll_{\varepsilon, Q} 1$  (see Remark 17), we see that

$$\begin{aligned} \sum_{q=1}^{\infty} 2^{\omega(q)} |\widehat{f}_S(q)| &= \sum_{q \in S} 2^{\omega(q)} \left| \sum_{\substack{d \in S \\ d \equiv 0(q)}} \frac{f'(d)}{d} \right| \\ &\leq 2^{\pi(Q)} \sum_{q \in S} \sum_{\substack{d \in S \\ d \equiv 0(q)}} \frac{|f'(d)|}{d} \ll_{\varepsilon, Q} \sum_{q \in S} q^{\varepsilon-1} \sum_{k \in S} k^{\varepsilon-1} \ll_{\varepsilon, Q} 1, \end{aligned}$$

that is  $\widehat{f}_S$  satisfies (14). Thus, it remains to prove that for every  $q \in S$  the  $q$ th Carmichael coefficient of  $f_S$  is

$$\frac{1}{\varphi(q)} \prod_{p \leq Q} \left(1 - \frac{1}{p}\right) \sum_{t \in S} \frac{f(t)c_q(t)}{t}.$$

From Remark 18,  $f_S(a) = \sum_{t \in S_a} f(t)$  and  $S_a \stackrel{\text{def}}{=} \{t \in S : t|a \text{ and } a/t \in \mathcal{T}\}$ , whence

$$\sum_{a \leq x} f_S(a)c_q(a) = \sum_{\substack{t \in S \\ t \leq x}} f(t) \sum_{\substack{k \in \mathcal{T} \\ k \leq x/t}} c_q(tk) = \sum_{\substack{t \in S \\ t \leq x}} f(t)c_q(t) \#\mathcal{T} \cap [1, x/t],$$

where we exchange sums and  $c_q(tk) = c_q(t)$  follows from the conditions  $q \in S, k \in \mathcal{T}$ , which yield  $(q, k) = 1$ . Now, since (see Remark 17)

$$\#\mathcal{T} \cap [1, x/t] = \frac{x}{t} \prod_{p \leq Q} \left(1 - \frac{1}{p}\right) + O_Q(1),$$

for every  $q \in S$  the  $q$ th Carmichael coefficient of  $f_S$  is given by

$$\begin{aligned} C_{f_S}(q) &= \frac{1}{\varphi(q)} \lim_{x \rightarrow \infty} \frac{1}{x} \sum_{a \leq x} f_S(a)c_q(a) \\ &= \frac{1}{\varphi(q)} \prod_{p \leq Q} \left(1 - \frac{1}{p}\right) \sum_{t \in S} \frac{f(t)c_q(t)}{t} + \frac{1}{\varphi(q)} \lim_{x \rightarrow \infty} \sum_{\substack{t \in S \\ t \leq x}} f(t)c_q(t) O_Q(1/x). \end{aligned}$$

The conclusion follows once we show that the latter limit is 0. Recalling that  $f \in \mathcal{A}^\varepsilon$ ,  $\#\mathcal{S} \cap [1, x] \ll_{\varepsilon, Q} x^\varepsilon$  (see Remark 17), and applying ② of Proposition 11, we see that

$$\sum_{\substack{t \in \mathcal{S} \\ t \leq x}} f(t) c_q(t) O_Q(1/x) \ll_{\varepsilon, Q} x^{\varepsilon-1} \sum_{\substack{t \in \mathcal{S} \\ t \leq x}} (q, t) \ll_{\varepsilon, Q} x^{\varepsilon-1} \sum_{d|q} d \#\mathcal{S} \cap [1, x/d] \ll_{\varepsilon, q, Q} x^{2\varepsilon-1}.$$

The theorem is completely proved.  $\square$

REMARK 19. The previous theorem and Theorem 11 yield that if  $g \in \langle f_S \rangle_*$  satisfies the ‘‘Dual’’ Delange condition (14), then  $g = \widehat{f}_S$ . In other words, the  $\mathcal{R}$ -coefficients of a smooth restriction of an essentially bounded function are uniquely determined. But even more important, since  $f_S(a) = f(a)$  for all  $a \in \mathcal{S}$ , from (15) we obtain the  $\mathcal{R}$ -expansion for the restriction of  $f$  to  $\mathcal{S}$ :

$$(16) \quad f(a) = \sum_{q=1}^{\infty} \widehat{f}_S(q) c_q(a), \quad \forall a \in \mathcal{S},$$

with the coefficients  $\widehat{f}_S(q)$  defined by (14). In particular, given  $f \in \mathcal{A}$  and  $g \in \mathcal{A}_Q$ , with  $Q \leq N$ , a fair correlation  $C_{f,g}(N, a)$  for all  $a \in \mathbb{N}$ , being bounded (see Remark 16), satisfies the hypotheses of the previous theorem. Thus, from (16) we get the unique  $\mathcal{R}$ -expansion

$$\begin{aligned} C_{f,g}(N, a) &= \sum_{q \in \mathcal{S}} \sum_{\substack{d \in \mathcal{S} \\ d=0(q)}} \frac{C'_{f,g}(N, d)}{d} c_q(a) \\ &= \prod_{p \leq Q} \left(1 - \frac{1}{p}\right) \sum_{q \in \mathcal{S}} \frac{c_q(a)}{\varphi(q)} \sum_{t \in \mathcal{S}} \frac{C_{f,g}(N, t) c_q(t)}{t}, \quad \forall a \in \mathcal{S}. \end{aligned}$$

(It is easily see that such an expansion holds also if  $f, g \in \mathcal{A}^\varepsilon$ .) On the other hand, in view of Theorem 4, the conditions  $f \in \mathcal{A}$ ,  $g \in \mathcal{A}_Q$ , with  $Q \leq N$ , and  $C_{f,g}(N, a)$  fair, do not suffice to get the REEF for such a correlation on the  $Q$ -smooth positive integers, i.e.

$$C_{f,g}(N, a) = \sum_{\ell \leq Q} \left( \frac{\widehat{g}(\ell)}{\varphi(\ell)} \sum_{n \leq N} f(n) c_\ell(n) \right) c_\ell(a), \quad \forall a \in \mathcal{S}.$$

In [3] it is provided the following counterexample. For a fixed  $q_0 \in [3, Q] \cap \mathbb{N}$ , let us take  $n_0 \in [1, N] \cap \mathbb{N}$  with  $n_0 \equiv -1 (q_0)$  and define  $f, g \in \mathcal{A}$  as  $f(n) = \mathbf{1}_{\{n_0\}}(n)$ ,  $g(n) = c_{q_0}(n)$ ,  $\forall n \in \mathbb{N}$ .

It is easily seen that  $g \in \mathcal{A}_Q$  and  $C_{f,g}(N, a)$  is fair. However, it turns out that

$$C_{f,g}(N, 1) = \varphi(q_0) \neq \frac{\mu(q_0)^2}{\varphi(q_0)} = \sum_{\ell \leq Q} \left( \frac{\widehat{g}(\ell)}{\varphi(\ell)} \sum_{n \leq N} f(n) c_\ell(n) \right) c_\ell(a).$$

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Giovanni COPPOLA  
Dipartimento di Matematica e Applicazioni,  
Università degli Studi di Napoli "Federico II",  
Complesso di Monte S. Angelo-Via Cinthia  
80126 Napoli (NA), ITALY  
Home address: Via Partenio, 12 - 83100 Avellino  
e-mail: [giovanni.coppola@unina.it](mailto:giovanni.coppola@unina.it)  
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