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THE CALABI–YAU EQUATION FOR T^2 -BUNDLES OVER T^2 : THE NON-LAGRANGIAN CASE

Abstract. In the spirit of [8, 2], we study the Calabi–Yau equation on T^2 -bundles over T^2 endowed with an invariant non-Lagrangian almost-Kähler structure showing that for T^2 -invariant initial data it reduces to a Monge–Ampère equation having a unique solution. In this way we prove that for every total space M^4 of an orientable T^2 -bundle over T^2 endowed with an invariant almost-Kähler structure the Calabi–Yau problem has a solution for every normalized T^2 -invariant volume form.

1. Introduction

Let (M^{2n}, J, Ω) be a $2n$ -dimensional compact Kähler manifold with associated complex structure J and symplectic form Ω . In view of a celebrated Yau’s theorem [12] for every volume form σ on M^{2n} satisfying

$$(1) \quad \int_{M^{2n}} \Omega^n = \int_{M^{2n}} \sigma$$

there exists a unique Kähler form $\tilde{\Omega}$ in the same de Rham cohomology class of Ω and such that

$$(2) \quad \tilde{\Omega}^n = \sigma.$$

Equation (2) still makes sense in the *almost-Kähler* context when J is merely an almost-complex structure and Ω remains closed. The almost complex structure J is still orthogonal relative to a Riemannian metric g for which $\Omega(X, Y) = g(JX, Y)$, and

$$(3) \quad \tilde{\Omega} = \Omega + d\alpha$$

is again assumed to be a positive-definite $(1, 1)$ -form relative to J . In this context the equations (1), (2) and (3) constitute the *Calabi–Yau problem*, which in the last years has been intensively studied in four dimensions (see [1, 9, 8, 2] and the references therein).

In [1] Donaldson introduced the Calabi–Yau problem for almost-Kähler manifolds showing that equation (2) has unique solution in dimension four and that it is related to some other central problems in symplectic geometry. In [9] Tosatti, Weinkove and Yau gave a sufficient condition for the existence of solution to the Calabi–Yau equation in terms of the Chern connection. This condition fails in case of the Kodaira–Thurston surface, which is a 4-dimensional nilmanifold, i.e. a compact quotient of the nilpotent Lie group $\text{Nil}^3 \times \mathbb{R}$ by a lattice, where Nil^3 denotes the 3-dimensional real Heisenberg group.

The Kodaira–Thurston surface is a typical example of a compact almost-Kähler 4-dimensional manifold which does not admit any Kähler structure. More precisely, it

is the total space of a principal T^2 -bundle over a torus \mathbb{T}^2 (in our notation from now on, T^2 denotes the 2-torus of the fibre, while \mathbb{T}^2 is the base 2-torus) and it has an invariant almost-Kähler structure whose symplectic form vanishes along the fibres of the T^2 -fibration, where by invariant structure we mean a structure induced by a left-invariant one on $\text{Nil}^3 \times \mathbb{R}$. The almost-Kähler structures on a total space of a fibration whose symplectic form vanishes along the fibres are usually called *Lagrangian*, since the fibers are Lagrangian submanifolds.

In [8] Tosatti and Weinkove studied the Calabi–Yau equation on the Kodaira–Thurston surface endowed with an invariant Lagrangian almost-Kähler structure, establishing the existence of a solution for every T^2 -invariant normalized volume form σ . Then in [2], the previous result obtained by Tosatti and Weinkove was simplified and extended to other T^2 -bundles over a \mathbb{T}^2 endowed with an invariant Lagrangian almost-Kähler structure.

We recall that in view of [10] every orientable T^2 -bundle over a \mathbb{T}^2 is a infrasolvmanifold, i.e. a smooth quotient $\Gamma \backslash G$ covered by a solvmanifold $\tilde{\Gamma} \backslash G$, compact quotient by a co-compact discrete subgroup of one of the following four Lie groups

$$\mathbb{R}^4, \quad \text{Nil}^3 \times \mathbb{R}, \quad \text{Nil}^4, \quad \text{Sol}^3 \times \mathbb{R}.$$

These Lie groups are all diffeomorphic to \mathbb{R}^4 . The Lie groups Nil^3 , Nil^4 are nilpotent and Sol^3 is a particular solvable (non nilpotent) Lie group.

In particular, if the total space M^4 of an orientable T^2 -bundle over a \mathbb{T}^2 is a solvmanifold, then it must be the compact quotient of one of the above Lie groups G . It is well known that all the orientable T^2 -bundles over \mathbb{T}^2 admit symplectic structures (see [3]). The notion of invariant almost-Kähler structure makes sense for orientable T^2 -bundles over \mathbb{T}^2 , meaning one induced from a left-invariant structure on G which is invariant by the discrete subgroup Γ .

As a main result of [2], it was shown that if $M^4 = \Gamma \backslash G$ is an orientable T^2 -bundle over a \mathbb{T}^2 with $G = \text{Nil}^3 \times \mathbb{R}$ or Nil^4 , and if M^4 admits an invariant Lagrangian almost-Kähler structure (Ω, J) , then for every normalized volume form $\sigma = e^F \Omega^2$ with $F \in C^\infty(\mathbb{T}^2)$, the corresponding Calabi–Yau problem has a unique solution.

The Lagrangian condition may or may not apply in the case of $G = \text{Nil}^3 \times \mathbb{R}$, but is automatic when M^4 is modelled on the 3-step nilpotent Lie group Nil^4 . In the case of $G = \text{Sol}^3 \times \mathbb{R}$ every invariant almost-Kähler on $\Gamma \backslash G$ is non-Lagrangian.

The aim of this paper is to extend the main result in [2] to the *non-Lagrangian* cases, i.e. to some T^2 -fibrations modelled on $\text{Nil}^3 \times \mathbb{R}$ and to all the T^2 -fibrations modelled on $\text{Sol}^3 \times \mathbb{R}$.

Our main result is the following

THEOREM 1. *Let $M^4 = \Gamma \backslash G$ be an orientable T^2 -bundle over a 2-torus \mathbb{T}^2 with $G = \text{Nil}^3 \times \mathbb{R}$ or $\text{Sol}^3 \times \mathbb{R}$, and suppose that M^4 admits an invariant non-Lagrangian almost-Kähler structure (Ω, J) . Then for every normalized volume form $\sigma = e^F \Omega^2$ with $F \in C^\infty(\mathbb{T}^2)$, the corresponding Calabi–Yau problem has a unique solution.*

The proof of this theorem consists in showing that the Calabi–Yau problem can be reduced to a single elliptic Monge–Ampère equation which has a solution.

The trick of reducing the problem to a Monge–Ampère equation was the core of [2], but the class of equations which appear in the present paper differs from the ones considered in [2].

As a consequence, we show that for every total space M^4 of an orientable T^2 -bundle over a \mathbb{T}^2 endowed with an invariant almost-Kähler structure (Ω, J) the Calabi–Yau problem has a solution for every normalized T^2 -invariant volume form.

The paper is organized as follows. In Section 2 we recall the classification of T^2 -bundles over \mathbb{T}^2 and we briefly describe the main result of [2]. Sections 3 and 4 contain the proof of Theorem 1 where the case of $G = \text{Nil}^3 \times \mathbb{R}$ and $G = \text{Sol}^3 \times \mathbb{R}$ are treated separately. In each of the two cases we can reduce the problem to a Monge–Ampère equation for which we show the existence of a solution.

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2. The Calabi–Yau equation on T^2 -bundles over \mathbb{T}^2

Orientable T^2 -bundles over a \mathbb{T}^2 were classified by Fukuhara and Sakamoto in [7] and it was shown by Ue in [10, 11] that all these manifolds are infra-solvmanifolds. A compact manifold M is called an *infra-solvmanifold* if it admits a finite covering $\pi: \tilde{M} \rightarrow M$, where $\tilde{M} = \tilde{\Gamma} \backslash G$ is the compact quotient of a solvable Lie group G by a lattice $\tilde{\Gamma}$. Alternatively, M can be written as a quotient $M = \Gamma \backslash G$, where Γ is a discrete group containing a lattice $\tilde{\Gamma}$ of G such that $\tilde{\Gamma} \backslash \Gamma$ is finite. In the case that $\tilde{\Gamma}$ is a lattice, M is simply called a *solvmanifold*.

It turns out that in the classification of T^2 -bundles over \mathbb{T}^2 , the solvable Lie group G must be one of the following four patterns

$$(4) \quad \mathbb{R}^4, \quad \text{Nil}^3 \times \mathbb{R}, \quad \text{Nil}^4, \quad \text{Sol}^3 \times \mathbb{R},$$

while the classification of the possible Γ 's determines eight families. For the Lie groups $\text{Nil}^3 \times \mathbb{R}, \text{Nil}^4, \text{Sol}^3 \times \mathbb{R}$ we have the following description:

- (1) Nil^3 is the 3-dimensional Heisenberg group of matrices

$$\begin{pmatrix} 1 & x & z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix}$$

and $\text{Nil}^3 \times \mathbb{R}$ is a 2-step nilpotent Lie group which can be regarded as \mathbb{R}^4 with the product

$$(x_0, y_0, z_0, t_0)(x, y, z, t) = (x_0 + x, y_0 + y, z_0 + z + x_0y, t_0 + t).$$

(2) $\text{Nil}^4 = \mathbb{R} \ltimes \mathbb{R}^3$ is the 3-step nilpotent Lie group of real matrices

$$\begin{pmatrix} 1 & t & \frac{1}{2}t^2 & x \\ 0 & 1 & t & y \\ 0 & 0 & 1 & z \\ 0 & 0 & 0 & 1 \end{pmatrix}.$$

(3) $\text{Sol}^3 = \mathbb{R} \ltimes_{\varphi} \mathbb{R}^2$ is a unimodular 2-step solvable Lie group with $\varphi(t) = \begin{pmatrix} e^t & 0 \\ 0 & e^{-t} \end{pmatrix}$ and $\text{Sol}^3 \times \mathbb{R}$ can be regarded as \mathbb{R}^4 with the product

$$(x_0, y_0, z_0, t_0)(x, y, z, t) = (x_0 + e^{t_0}x, y_0 + e^{-t_0}y, z_0 + z, t_0 + t).$$

The diffeomorphism classes of (the total space of) T^2 -bundles over \mathbb{T}^2 can be summarized in Geiges' eight families [3, Table 1], which can be explicitly described in terms of the generators of the discrete groups Γ , the monodromy matrices along the two curves generating $\pi_1(\mathbb{T}^2)$, as well as the Euler class for the corresponding T^2 -bundle.

In the case of $G = \text{Nil}^3 \times \mathbb{R}$ one has two inequivalent fibrations

$$\begin{aligned} \pi_{xy} &: M^4 \rightarrow \mathbb{T}_{xy}^2, \\ \pi_{yt} &: M^4 \rightarrow \mathbb{T}_{yt}^2 \end{aligned}$$

induced from the coordinate mappings

$$\begin{aligned} (x, y, z, t) &\mapsto (x, y), \\ (x, y, z, t) &\mapsto (y, t). \end{aligned}$$

If Γ is not a lattice of G , we have that Γ contains a lattice $\tilde{\Gamma}$ of G such that the quotient $\tilde{\Gamma} \backslash G$ is a finite group. Therefore there exists a covering map $p: \tilde{\Gamma} \backslash G \rightarrow \Gamma \backslash G$ which preserves the T^2 -bundle structure over \mathbb{T}^2 .

We recall that an *almost-Kähler structure* on a manifold M is a pair (Ω, J) , where Ω is a symplectic form and J is a endomorphism of the tangent bundle to M satisfying $J^2 = -I$ and

$$\Omega(JX, JY) = \Omega(X, Y), \quad \Omega(Z, JZ) > 0$$

for every tangent vector fields X, Y, Z on M with Z nowhere vanishing. Every almost-Kähler structure induces the Riemannian metric $g(X, Y) = \Omega(X, JY)$.

In this paper (as in [2]) we consider on the total space $M^4 = \Gamma \backslash G$ of T^2 -bundles over \mathbb{T}^2 invariant almost-Kähler structures, that is ones induced from left-invariant structures on G which are invariant by the discrete group Γ and we study for these almost-Kähler manifolds the Calabi–Yau problem. In particular every invariant almost-Kähler structure on $M^4 = \Gamma \backslash G$ induces an invariant almost-Kähler structure on the solvmanifold $\tilde{\Gamma} \backslash G$.

The case $G = \mathbb{R}^4$ (which corresponds to two of the Geiges’ families) is not interesting from our point of view, since in this case every invariant almost-Kähler structure is Kähler and Yau’s theorem can be applied. For the other cases we have to distinguish the Lagrangian case from the non-Lagrangian one. If M^4 is modelled on $G = \text{Nil}^4$ or on $G = \text{Nil}^3 \times \mathbb{R}$ with bundle structure given by the projection π_{xy} , then every invariant almost-Kähler is Lagrangian. If M^4 is modelled on $\text{Nil}^3 \times \mathbb{R}$ with bundle structure given by the projection π_{yt} then it admits Lagrangian and non-Lagrangian almost-Kähler structures as well. In the case $G = \text{Sol}^3 \times \mathbb{R}$, every invariant almost-Kähler structure is non-Lagrangian.

Let now $M^4 = \Gamma \backslash G$ be an orientable T^2 -bundle over \mathbb{T}^2 and denote by \mathfrak{g} the Lie algebra of G . Then every basis (e^i) of the dual space \mathfrak{g}^* induces a global frame of 1-forms on M^4 . Furthermore we fix an invariant almost-Kähler structure (Ω, J) on M^4 . Let $\sigma = e^F \Omega^2$ be a volume form and let F be a smooth map on the base \mathbb{T}^2 of M^4 satisfying

$$\int_{\mathbb{T}^2} (e^F - 1) = 0.$$

Then in this case the Calabi–Yau problem reads as

$$(5) \quad \begin{cases} (\Omega + da)^2 = e^F \Omega^2, \\ J(da) = da, \end{cases}$$

on M^4 whose components with respect to the coframe (e^i) are defined on the torus \mathbb{T}^2 . Thus the Calabi–Yau problem reduces to a system of partial differential equations on the base \mathbb{T}^2 .

Although the system (5) depends on the choice of G , (Ω, J) and the structure of T^2 -fibration, for all the cases we can proceed in the following way: first we parametrize (Ω, J) using a suitable invariant coframe on M^4 in order to simplify the formulation of (5) as far as possible, and then we perform a suitable change of variables transforming the system (5) in a Monge-Ampère equation on the base \mathbb{T}^2 .

The Lagrangian cases have been considered in [2], where it has been proved that there is a unique solution. In the next two sections we will consider the non-Lagrangian cases for the manifolds modelled on $\text{Nil}^3 \times \mathbb{R}$ and $\text{Sol}^3 \times \mathbb{R}$.

3. Manifolds modelled on $\text{Nil}^3 \times \mathbb{R}$: the non-Lagrangian case

In this section we study the Calabi–Yau problem for T^2 -bundles over \mathbb{T}^2 modelled on $\text{Nil}^3 \times \mathbb{R}$ and equipped with an invariant non-Lagrangian almost-Kähler structure.

The structure of T^2 -bundle over a \mathbb{T}^2 is then induced by the projection π_{yt} onto the torus \mathbb{T}_{yt}^2 . The total space M^4 of the T^2 -fibration has the global invariant coframe

$$e^1 = dy, \quad e^2 = dx, \quad e^3 = dt, \quad e^4 = dz - xdy$$

which satisfies the structure equations

$$(6) \quad de^1 = de^2 = de^3 = 0, \quad de^4 = e^{12}.$$

LEMMA 1. *Let M^4 be the total space of an oriented T^2 -bundle over a 2-torus \mathbb{T}^2 modelled on $\text{Nil}^3 \times \mathbb{R}$ and induced by the projection $\pi_{y,t}$ onto the torus $\mathbb{T}^2_{y,t}$. Let (Ω, J) be an invariant almost-Kähler structure on M^4 with induced Riemannian metric g . Then there exists an orthonormal invariant coframe (f^i) for which*

$$(7) \quad \Omega = f^{14} + f^{23},$$

and

$$(8) \quad f^1 \in \langle e^1 \rangle, \quad g(e^3, f^2) = 0, \quad g(e^3, f^3)g(e^3, f^4) \geq 0.$$

Proof. We can certainly find an orthonormal invariant coframe (f^i) for which (7) is valid and $f^1 \in \langle e^1 \rangle$. Since $f^4 = J(f^1)$, we still have freedom to rotate f^{23} in the plane orthogonal to $\langle f^1, f^4 \rangle$. After a suitable rotation we obtain $g(e^3, f^2) = 0$. If necessary, we may invert the direction of f^2 and f^3 to meet the condition $g(e^3, f^3)g(e^3, f^4) \geq 0$, without reversing f^{23} . \square

3.1. The Calabi–Yau equation on M^4

Consider on M^4 an invariant non-Lagrangian almost-Kähler structure (Ω, J) with induced Riemannian metric g . Let $\sigma = e^F \Omega^2$ be a volume form where $F = F(y, t)$ is a smooth map on the base satisfying

$$(9) \quad \int_{\mathbb{T}^2} (e^F - 1) = 0.$$

Consider the Calabi–Yau equation

$$\begin{cases} (\Omega + da)^2 = \sigma, \\ J(da) = da, \end{cases}$$

where a is a 1-form on M^4 whose components with respect to the basis (e^i) depend on (y, t) only. Let (f^i) be a coframe as in Lemma 1 and set

$$G_j^i = g(e^i, f^j);$$

then we have

$$e^i = G_j^i f^j.$$

In particular we have

$$(10) \quad e^1 = G_1^1 f^1$$

and

$$(11) \quad G_1^1 \neq 0, \quad G_2^1 = G_3^1 = G_4^1 = 0.$$

Let $H = G^{-1}$ be the inverse matrix of $G = (G_j^i)$. Then

$$f^i = H_j^i e^j.$$

From (10) and (11), we have

$$H_1^1 = (G_1^1)^{-1} \neq 0,$$

and

$$(12) \quad H_2^1 = H_3^1 = H_4^1 = 0.$$

Thanks to the structure equations (6), we have

$$df^i = H_j^i de^j = H_4^i de^4 = H_4^i e^{12} = H_4^i G_1^1 (G_2^2 f^{12} + G_3^2 f^{13} + G_4^2 f^{14}).$$

The condition that (Ω, J) be non-Lagrangian implies that

$$G_4^3 \neq 0.$$

Moreover, since $G_2^3 = g(e^3, f^2) = 0$, we have

$$(13) \quad e^3 = G_1^3 f^1 + G_3^3 f^3 + G_4^3 f^4,$$

where

$$(14) \quad G_3^3 G_4^3 \geq 0,$$

thanks to (8).

Differentiating (13) we get

$$G_3^3 df^3 + G_4^3 df^4 = (G_3^3 H_4^3 + G_4^3 H_4^4) e^{12} = 0,$$

that is,

$$(15) \quad G_3^3 H_4^3 + G_4^3 H_4^4 = 0.$$

Furthermore, the symplectic condition $d\Omega = 0$ gives

$$df^{23} = 0,$$

i.e.,

$$\begin{aligned} 0 &= H_4^2 G_1^1 (G_2^2 f^{12} + G_3^2 f^{13} + G_4^2 f^{14}) \wedge f^3 - H_4^3 G_1^1 f^2 \wedge (G_2^2 f^{12} + G_3^2 f^{13} + G_4^2 f^{14}) \\ &= G_1^1 (H_4^2 G_2^2 + G_1^1 H_4^3 G_3^2) f^{123} - G_1^1 H_4^2 G_4^2 f^{134} + G_1^1 H_4^3 G_4^2 f^{124}. \end{aligned}$$

It follows that

$$(16) \quad H_4^2 G_2^2 + H_4^3 G_3^2 = 0, \quad H_4^2 G_4^2 = H_4^3 G_4^2 = 0.$$

From (15) and (16) we have

$$(17) \quad G_4^2 G_4^3 H_4^4 = G_4^2 (G_3^3 H_4^3 + G_4^3 H_4^4) = 0,$$

and, since $H_4^1 = 0$, and H_4^2, H_4^3 and H_4^4 cannot vanish all together, from (12), (16), (17), we obtain that

$$G_4^2 = 0.$$

Write

$$a = a_k f^k$$

and compute

$$\begin{aligned} da = & (G_1^1 a_{2,y} + G_1^1 G_2^2 H_4^k a_k + G_1^3 a_{2,t}) f^{12} + (G_1^1 a_{3,y} + G_1^1 G_3^2 H_4^k a_k + G_1^3 a_{3,t} - G_3^3 a_{1,t}) f^{13} \\ & - G_4^3 a_{2,t} f^{24} + (G_1^1 a_{4,y} + G_1^3 a_{4,t} - G_4^3 a_{1,t}) f^{14} - G_3^3 a_{2,t} f^{23} + (G_3^3 a_{4,t} - G_4^3 a_{3,t}) f^{34}. \end{aligned}$$

Hence da is of type $(1, 1)$ with respect to J if and only if

$$\begin{cases} G_1^1 a_{2,y} + G_1^1 G_2^2 (H_4^2 a_2 + H_4^3 a_3 + H_4^4 a_4) + G_1^3 a_{2,t} = -G_3^3 a_{4,t} + G_4^3 a_{3,t}, \\ G_1^1 a_{3,y} + G_1^1 G_3^2 (H_4^2 a_2 + H_4^3 a_3 + H_4^4 a_4) + G_1^3 a_{3,t} - G_3^3 a_{1,t} = -G_3^3 a_{2,t} \end{cases}$$

and in this case da reduces to

$$\begin{aligned} da = & (-G_3^3 a_{4,t} + G_4^3 a_{3,t}) f^{12} - G_3^3 a_{2,t} f^{13} - G_4^3 a_{2,t} f^{24} \\ & + (G_1^1 a_{4,y} + G_1^3 a_{4,t} - G_4^3 a_{1,t}) f^{14} - G_3^3 a_{2,t} f^{23} + (G_3^3 a_{4,t} - G_4^3 a_{3,t}) f^{34}. \end{aligned}$$

The Calabi–Yau equation now reads as

$$e^F = (1 + G_1^1 a_{4,y} + G_1^3 a_{4,t} - G_4^3 a_{1,t})(1 - G_3^3 a_{2,t}) - G_3^3 G_4^3 (a_{2,t})^2 - (-G_3^3 a_{4,t} + G_4^3 a_{3,t})^2,$$

and the Calabi–Yau problem is equivalent to the following system of partial differential equations:

$$(18) \quad \begin{cases} G_1^1 a_{2,y} + G_1^1 G_2^2 (H_4^2 a_2 + H_4^3 a_3 + H_4^4 a_4) + G_1^3 a_{2,t} + G_3^3 a_{4,t} - G_4^3 a_{3,t} = 0, \\ G_1^1 a_{3,y} + G_1^1 G_3^2 (H_4^2 a_2 + H_4^3 a_3 + H_4^4 a_4) + G_1^3 a_{3,t} - G_3^3 a_{1,t} + G_3^3 a_{2,t} = 0, \\ (1 + G_1^1 a_{4,y} + G_1^3 a_{4,t} - G_4^3 a_{1,t})(1 - G_3^3 a_{2,t}) \\ \quad - G_3^3 G_4^3 (a_{2,t})^2 - (-G_3^3 a_{4,t} + G_4^3 a_{3,t})^2 = e^F. \end{cases}$$

In the system (18) the parameter G_3^3 has a special role. We will study separately the cases $G_3^3 = 0$ and $G_3^3 \neq 0$.

3.2. The case $G_3^3 = 0$

This case is quite trivial since condition $G_3^3 = 0$ implies $dt \in \langle f^1, f^4 \rangle$ and $f^{14} = dy \wedge dt$. Therefore if $G_3^3 = 0$ the corresponding Calabi–Yau equation has the explicit solution

$$\tilde{\Omega} = (e^F - 1) f^{14} + f^{23}.$$

3.3. The Case $G_3^3 \neq 0$

Under this assumption we consider the transformation

$$\begin{aligned} a_1 &= -G_3^3 u_t - G_1^1 (H_4^2 G_3^2 + H_4^4 G_2^2) u, \\ a_2 &= -G_3^3 u_t - H_4^4 G_1^1 G_2^2 u, \\ a_3 &= -H_4^4 G_1^1 G_3^2 u, \\ a_4 &= G_1^1 u_y + G_1^3 u_t. \end{aligned}$$

A long but straightforward computation shows that the first two equations of system (18) are identically satisfied, while the third one becomes

$$(19) \quad (u_{yy} + B_{11}u_t + C_{11})(u_{tt} + B_{22}u_t + C_{22}) - (u_{yt} + B_{12}u_t + C_{12})^2 = E_1 + E_2 e^F,$$

where

$$\begin{aligned} B_{11} &= \frac{G_2^2 (G_1^3)^2 H_4^4}{G_1^1 G_3^3} - 2 \frac{G_3^2 G_1^3 G_4^3 H_4^4}{G_1^1 G_3^3} + \frac{G_3^2 G_4^3 H_4^2}{G_1^1}, \\ B_{12} &= -\frac{G_2^2 G_1^3 H_4^4}{G_3^3} + \frac{G_3^2 G_4^3 H_4^4}{G_3^3}, \quad B_{22} = \frac{G_1^1 G_2^2 H_4^4}{G_3^3}, \\ C_{11} &= \frac{1}{(G_1^1)^2} + \frac{(G_1^3)^2}{(G_1^1)^2 (G_3^3)^2} + \frac{G_4^3}{(G_1^1)^2 G_3^3}, \\ C_{12} &= -\frac{G_1^3}{G_1^1 (G_3^3)^2}, \quad C_{22} = \frac{1}{(G_3^3)^2}, \\ E_1 &= \frac{G_3^3 G_4^3}{(G_1^1)^2 (G_3^3)^4}, \quad E_2 = (G_1^1 G_3^3)^2. \end{aligned}$$

In particular we have

$$B_{11}B_{22} - (B_{12})^2 = 0$$

and

$$C_{11}C_{22} - (C_{12})^2 = E_1 + E_2.$$

4. Manifolds modelled on $\text{Sol}^3 \times \mathbb{R}$

In this section we study the Calabi–Yau equation for the total spaces M^4 of T^2 -bundles over torus \mathbb{T}^2 modelled on $\text{Sol}^3 \times \mathbb{R}$.

Since the Lie group $\text{Sol}^3 \times \mathbb{R}$ can be seen as \mathbb{R}^4 equipped with the product

$$(x_0, y_0, z_0, t_0)(x, y, z, t) = (x_0 + x, y_0 + y, z_0 + e^x z, t_0 + e^{-x} t),$$

M^4 inherits the global invariant coframe

$$(20) \quad e^1 = dx, \quad e^2 = dy, \quad e^3 = e^x dz, \quad e^4 = e^{-x} dt,$$

satisfying the following structure equations

$$(21) \quad de^1 = de^2 = 0, \quad de^3 = e^{13}, \quad de^4 = -e^{14}.$$

Moreover, invariant almost-Kähler structure on M^4 should be parametrized as claimed in the following lemma proved in [2]:

LEMMA 2. *Let (Ω, J) be an invariant almost-Kähler structure on the total space M^4 of a T^2 -bundle over a torus \mathbb{T}^2 modelled on $\text{Sol}^3 \times \mathbb{R}$. Let g be the induced Riemannian metric. Then there exists an orthonormal global coframe (f^i) for which*

$$\Omega = f^{12} + f^{34},$$

and

$$(22) \quad f^1 \in \langle e^1 \rangle, \quad f^3 \in \langle e^3 \rangle, \quad f^4 \in \langle e^3, e^4 \rangle,$$

with

$$(23) \quad g(e^1, f^1) > 0.$$

Notice that in this case every invariant almost-Kähler structure is non-Lagrangian.

4.1. The Calabi–Yau equation on M^4

Let (Ω, J) be an invariant almost-Kähler structure on M^4 , (f^k) be a coframe as in the previous lemma and $\sigma = e^F \Omega^2$ be a volume form where $F = F(x, y) \in C^\infty(\mathbb{T}^2)$ satisfies the condition

$$\int_{\mathbb{T}^2} (e^F - 1) = 0.$$

Then we consider the Calabi–Yau equation

$$(24) \quad (\Omega + da)^2 = \sigma,$$

where

$$a = \sum_{k=1}^4 a_k f^k,$$

is a 1-form whose components a_k are functions on the base \mathbb{T}^2 .

Let g be the Riemannian metric induced by (Ω, J) and set

$$G_j^i = g(e^i, f^j).$$

Then

$$(25) \quad e^i = G_j^i f^j$$

and

$$f^i = H_j^i e^j,$$

where $H = G^{-1}$ is the inverse matrix of $G = (G_j^i)$. In particular this implies that

$$G_4^3 = H_4^2 G_2^2 + H_4^4 G_4^2 = H_3^3 G_3^4 + H_3^4 G_4^4 = 0.$$

4.2. Reduction of (26) to a single equation

Consider $u \in C^2(\mathbb{T}^2)$ such that

$$(27) \quad \int_{\mathbb{T}^2} u = 0,$$

and let

$$\left\{ \begin{array}{l} a_1(x, y) = -\frac{H_1^1 G_2^2 (u_y(x, y) - u_y(x, 0)) + 2H_4^4 G_3^4 (u(x, y) - u(x, 0))}{(G_3^2)^2 + (G_4^2)^2} \\ \quad - \frac{G_1^1 H_2^2}{(G_3^2)^2 + (G_4^2)^2} \left(\int_0^y (u_{xx}(x, t) - u(x, t)) dt - y \int_0^1 (u_{xx}(x, t) - u(x, t)) dt \right), \\ a_2(x, y) = -\frac{1}{(G_3^2)^2 + (G_4^2)^2} \left(\int_0^x \left(\int_0^1 u(s, t) dt \right) ds - \int_0^1 (u_x(x, t) - u_x(0, t)) dt \right), \\ a_3(x, y) = -\frac{H_2^2 G_3^2 u_x(x, y) + H_1^1 G_4^2 u_y(x, y) - H_2^2 (G_3^2 - 2G_4^2 H_4^4 G_3^4) u(x, y)}{(G_3^2)^2 + (G_4^2)^2} \\ \quad - \frac{H_2^2 G_3^2}{(G_3^2)^2 + (G_4^2)^2} \left(\int_0^x \left(\int_0^1 u(s, t) dt \right) ds - \int_0^1 (u_x(x, t) - u_x(0, t)) dt \right), \\ a_4(x, y) = -\frac{H_2^2 G_4^2 u_x(x, y) - H_1^1 G_3^2 u_y(x, y) + H_2^2 G_4^2 u(x, y)}{(G_3^2)^2 + (G_4^2)^2} \\ \quad - \frac{H_2^2 G_4^2}{(G_3^2)^2 + (G_4^2)^2} \left(\int_0^x \left(\int_0^1 u(s, t) dt \right) ds - \int_0^1 (u_x(x, t) - u_x(0, t)) dt \right). \end{array} \right.$$

Thanks to condition (27) we have that the functions a_1 to a_4 are periodic. A long computation shows that the first two equations of system (26) are identically satisfied, while the third one becomes

$$(28) \quad (u_{xx} + B_{11}u_y + C_{11} + Du)(u_{yy} + B_{22}u_y + C_{22}) - (u_{xy} + B_{12}u_y)^2 = E_1 + E_2 e^F,$$

where

$$\begin{aligned} B_{11} &= \frac{2H_1^1 G_2^2 G_3^2 (G_4^2 + G_3^2 H_4^4 G_3^4)}{(G_3^2)^2 + (G_4^2)^2}, \\ B_{12} &= \frac{(G_4^2)^2 - (G_3^2)^2 + 2G_3^2 G_4^2 H_4^4 G_3^4}{(G_3^2)^2 + (G_4^2)^2}, \\ B_{22} &= -\frac{2G_1^1 H_2^2 G_4^2 (G_3^2 - G_4^2 H_4^4 G_3^4)}{(G_3^2)^2 + (G_4^2)^2}, \\ C_{11} &= H_1^1 \left((G_2^2)^2 + (G_3^2)^2 + (G_4^2)^2 \right), \quad C_{22} = G_1^1, \\ D &= -1, \quad E_1 = (G_2^2)^2, \quad E_2 = (G_3^2)^2 + (G_4^2)^2. \end{aligned}$$

In particular we have

$$B_{11}B_{22} - (B_{12})^2 = -1$$

and

$$C_{11}C_{22} - (C_{12})^2 = E_1 + E_2.$$

5. The Monge–Ampère equation

Both equations (19) and (28) are generalized Monge–Ampère equations of the following type:

$$(29) \quad A_{11}[u]A_{22}[u] - (A_{12}[u])^2 = E_1 + E_2 e^F,$$

where

$$A_{11}[u] = u_{xx} + B_{11}u_y + C_{11} + Du,$$

$$A_{12}[u] = u_{xy} + B_{12}u_y + C_{12},$$

$$A_{22}[u] = u_{yy} + B_{22}u_y + C_{22},$$

with B_{ij}, C_{ij}, D, E_i real numbers such that

$$(30) \quad C_{11} + C_{22} > 0, \quad D \leq 0,$$

$$(31) \quad E_1 > 0, \quad E_2 > 0,$$

$$(32) \quad B_{11}B_{22} - (B_{12})^2 = D$$

and

$$(33) \quad C_{11}C_{22} - (C_{12})^2 = E_1 + E_2.$$

Moreover

$$(34) \quad F \in C^\infty(\mathbb{T}^2),$$

and it satisfies the condition

$$(35) \quad \int_{\mathbb{T}^2} (e^F - 1) = 0.$$

In Theorem 4 we shall prove that equation (29) has a solution belonging to $C^\infty(\mathbb{T}^2)$, and satisfying the condition

$$(36) \quad \int_{\mathbb{T}^2} u = 0.$$

For all $n \in \mathbb{N}$, $0 < \varepsilon < 1$, consider the semi-norms

$$|u|_{C^n} = \max_{0 \leq j \leq n} \sup_{(x,y) \in \mathbb{R}^2} |\partial_x^j \partial_y^{n-j} u(x,y)|$$

$$|u|_{C^{n,\varepsilon}} = \max_{0 \leq j \leq n} \sup_{(x,y) \in \mathbb{R}^2} \sup_{(h,k) \in \mathbb{R}^2 \setminus \{(0,0)\}} \frac{|\partial_x^j \partial_y^{n-j} u(x+h,y+k) - \partial_x^j \partial_y^{n-j} u(x,y)|}{(h^2 + k^2)^{\varepsilon/2}},$$

and the norms

$$\|u\|_{C^n} = \max_{0 \leq k \leq n} |u|_{C^k}, \quad \|u\|_{C^{n,\varepsilon}} = \max\{\|u\|_{C^n}, |u|_{C^{n,\varepsilon}}\}.$$

LEMMA 3. Under the hypotheses (31), for all $u \in C^2(\mathbb{T}^2)$ satisfying (29) we have that

$$(37) \quad \begin{cases} A_{11}[u] > 0, \\ A_{22}[u] > 0. \end{cases}$$

Proof. Equation (29) implies that $A_{11}[u]A_{22}[u] > E_1$. Then $A_{11}[u]$ and $A_{22}[u]$ never vanish and have the same sign. At a point where u reaches its minimum value, we have $u_y = 0$ and $u_{yy} \leq 0$. Then

$$A_{22}[u] = u_{yy} + C_{22} > 0$$

and both $A_{11}[u]$ and $A_{22}[u]$ must be positive everywhere. □

LEMMA 4. Consider a function $u \in C^2(\mathbb{T}^2)$ satisfying equation (29). Under the hypotheses (30) and (31) we have that

$$(38) \quad Du(x,y) \geq C_{11}, \quad \forall (x,y) \in \mathbb{R}^2.$$

Proof. Consider a point where Du attains its minimum value. Since $D \leq 0$, this corresponds to a point where u reaches its maximum value. Then we have $u_y = 0$ and $u_{xx} \leq 0$ and from (37) we have

$$C_{11} + Du \geq u_{xx} + C_{11} + Du > 0,$$

which implies

$$Du \geq C_{11},$$

at the maximum and therefore everywhere. □

We need Lemma 6.3 of [2]:

LEMMA 5. Consider $w \in C^2(\mathbb{T})$ and two real numbers α and β such that

$$(39) \quad w''(t) + \alpha w'(t) \geq \beta, \quad \forall t \in \mathbb{R}.$$

Then we have

$$(40) \quad |w'(t)| \leq 2|\beta|e^{2|\alpha|}, \quad \forall t \in \mathbb{R}.$$

THEOREM 2. *Assume hypotheses (30) and (33) are satisfied. Then all solutions of (29) satisfy the following estimate:*

$$\|u\|_{C^2} \leq 2(|B_{11}| + 1) |B_{22}| e^{2C_{22}} + C_{11} + C_{22}.$$

Proof. From (37) we obtain that

$$u_{yy} + B_{22}u_y \geq -C_{22},$$

hence from Lemma 5 we obtain that

$$(41) \quad |u_y| \leq 2 |B_{22}| e^{2C_{22}}.$$

From (37), (38) and (41), we obtain

$$u_{xx} \geq -2 |B_{11}B_{22}| e^{2C_{22}} - C_{11} - C_{22},$$

hence from Lemma 5 we obtain

$$(42) \quad |u_x| \leq 2 |B_{11}B_{22}| e^{2C_{22}} + C_{11} + C_{22}.$$

Now consider a point $(x_0, y_0) \in [0, 1] \times [0, 1]$ where u vanishes. Then we have

$$\begin{aligned} u(x, y) &= \int_0^1 u_x((1-t)x + tx_0, (1-t)y + ty_0) dt (x - x_0) \\ &\quad + \int_0^1 u_y((1-t)x + tx_0, (1-t)y + ty_0) dt (y - y_0), \end{aligned}$$

which, together with periodicity, implies

$$|u|_{C^0} \leq 2 |u|_{C^1}.$$

This estimate, together (41) and (42), implies

$$|u|_{C^0} \leq 2(|B_{11}| + 1) |B_{22}| e^{2C_{22}} + C_{11} + C_{22}. \quad \square$$

Let $\tau \in [0, 1]$ and set

$$(43) \quad \mathfrak{S}_\tau = \left\{ u \in C^2(\mathbb{T}^2) \mid A_{11}[u]A_{22}[u] - (A_{12}[u])^2 = E_1 + (1 - \tau)E_2 + \tau E_2 e^F, \int_{\mathbb{T}^2} u = 0 \right\}.$$

THEOREM 3. *Assume hypotheses (30) to (34) are satisfied. Then*

$$\mathfrak{S}_\tau \subset C^{2,1/2}(\mathbb{T}^2), \quad \forall \tau \in [0, 1],$$

and

$$\sup_{0 \leq \tau \leq 1} \sup_{u \in \mathfrak{S}_\tau} \|u\|_{C^{2,1/2}} < \infty.$$

Proof. Thanks to Lemma 3 and hypothesis (31) the equation

$$A_{11}[u]A_{22}[u] - (A_{12}[u])^2 = E_1 + (1 - \tau)E_2 + \tau E_2 e^F$$

is uniformly elliptic and we can apply Theorem 2 of [5]. □

COROLLARY 1. *Under the same hypotheses of Theorem 3 we have that*

$$\mathfrak{S}_\tau \subset C^\infty(\mathbb{T}^2)$$

for all $0 \leq \tau \leq 1$.

Proof. This follows from Theorems 1 and 3 of [6]. □

THEOREM 4. *Under the hypotheses (30) to (35), we have that for all $\tau \in [0, 1]$ the equation*

$$A_{11}[u]A_{22}[u] - (A_{12}[u])^2 = E_1 + (1 - \tau)E_2 + \tau E_2 e^F$$

has a solution in $C^\infty(\mathbb{T}^2)$ satisfying condition (36).

In particular, for $\tau = 1$ we obtain that equation (29) is solvable.

Proof. In view of Corollary 1, it is sufficient to prove the existence of a C^2 -solution. Hence we have to prove that $\mathfrak{S}_\tau(\mathbb{T}^2) \neq \emptyset$ for all $\tau \in [0, 1]$. If $\tau = 0$, thanks to (33) we have that $0 \in \mathfrak{S}_0$. Then we may set

$$\rho = \sup\{\sigma \in [0, 1] \mid \mathfrak{S}_\tau \neq \emptyset, \forall \tau \in [0, \sigma]\}$$

We must show that $\mathfrak{S}_\rho \neq \emptyset$ and that $\rho = 1$.

Consider a sequence $\tau_n \in [0, \rho]$ converging to ρ and such that $\mathfrak{S}_{\tau_n} \neq \emptyset$. Let $u_n \in \mathfrak{S}_{\tau_n}$ for all n . By Theorem 3, the sequence (u_n) is bounded in $C^{2,1/2}(\mathbb{T}^2)$, hence, by the Ascoli–Arzelà theorem, it contains a subsequence (v_n) that converges in $C^2(\mathbb{T}^2)$ to a function v , which is a solution belonging to \mathfrak{S}_ρ .

Now we show that $\rho = 1$. Assume by contradiction $\rho < 1$ and let $C_*^{k,1/2}(\mathbb{T}^2)$ be the space of functions $u \in C^{k,1/2}(\mathbb{T}^2)$ satisfying $\int_{\mathbb{T}^2} u = 0$. Consider the map

$$T : C_*^{2,1/2}(\mathbb{T}^2) \times [0, 1] \rightarrow C_*^{0,1/2}(\mathbb{T}^2),$$

defined as

$$T(u, \tau) = A_{11}[u]A_{22}[u] - (A_{12}[u])^2 - E_1 - (1 - \tau)E_2 - \tau E_2 e^F.$$

Observe that

$$\int_{\mathbb{T}^2} T(u, \tau) = 0,$$

thanks to (32), (33) and (35).

We know that there exists $v \in \mathfrak{S}_\rho \subset C_*^{2,1/2}(\mathbb{T}^2)$ such that $T(v, \rho) = 0$. We have

$$T'[v, \rho](w, 0) = Lw,$$

with

$$L : C_*^{2,1/2}(\mathbb{T}^2) \rightarrow C_*^{0,1/2}(\mathbb{T}^2)$$

given by

$$(44) \quad \begin{aligned} Lw = & (A_{22}[v] + C_{22})w_{xx} - 2(A_{12}[v] + C_{12})w_{xy} + (A_{11}[v] + C_{11})w_{yy} \\ & + \left(B_{11}(A_{22}[v] + C_{22}) - 2B_{12}(A_{12}[v] + C_{12}) + B_{22}(A_{11}[v] + C_{11}) \right) w_y \\ & + D(A_{22}[u] + C_{22})w. \end{aligned}$$

Now from Lemma 3 and hypotheses (31) the matrices

$$\begin{bmatrix} A_{11}[v] & A_{12}[v] \\ A_{12}[v] & A_{22}[v] \end{bmatrix}, \quad \begin{bmatrix} C_{11} & C_{12} \\ C_{12} & C_{22} \end{bmatrix}$$

are positive, so their sum is positive too, and the operator L is uniformly elliptic. Since $D(A_{22}[u] + A_{22}[v]) \leq 0$, we may apply the strong maximum principle ([4], Theorem 3.5) and obtain that $Lw = 0$ implies that w is constant, that is $w = 0$, by condition (36). Ellipticity and classical Schauder estimates ([4, Theorem 6.2]) show that L is onto. Since L is one-to-one, it must be an isomorphism. Then, by the implicit function theorem, there exists $\varepsilon > 0$ such that $\mathfrak{S}_\tau(\mathbb{T}^2) \neq \emptyset$ for $\rho < \tau < \rho + \varepsilon$, contradicting the definition of ρ . \square

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